THE ROBERT WOOD JOHNSON FOUNDATION ANNUAL REPORT 1999
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### Americans Without Health Insurance: Myths and Realities

The Robert Wood Johnson Foundation

Annual Report 1999



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The President's Message

### The American Paradox: Lack of health insurance in a land of plenty

Fourth, major organizations with a stake in the health insurance debate should declare what their preferred options are. These options could then be debated and assessed, with the goal of identifying common ground. Achieving a broad consensus for a particular proposal, such as developed around CHIP, would greatly improve its chances for implementation. Accepting the status quo, though a possible response, would be an embarrassing public posture for most. In pursuit of consensus, in January 2000 we supported a conference at which groups as diverse as Families USA, the Health Insurance Association of America, the American Medical Association, and the Service Employees International Union presented and discussed their own proposals to tackle the problems of the uninsured.

The various arguments that I have summarized are powerful, and even if we could as a nation agree that something should be done, deciding what to do what services to cover, who to cover, and how to pay for it presents huge obstacles. There are those who say that we should accept the number of uninsured and move on to strengthening the safety net that provides charity care. This troubles me on two grounds. First, it is by no means certain that the safety net can withstand the next economic downturn. And frankly, it is morally offensive that the world s richest nation is willing to consign so many to hand-me-down medical care. If we will not use a small portion of our vast wealth to make sure that our citizens can get the health care they need, then as a nation, we will have failed in a very basic way.

The path to expanding health insurance coverage will be bumpy. However, I remain an optimist. Our continued prosperity provides a budget cushion that could be used to cover more people. Public interest and support for expanded insurance coverage is reviving. The consensus that secured the passage of CHIP may be rebuilt for other expansions. And, most important, the moral case for assuring basic health care to everyone is so strong and so just that it should sustain these efforts.

Steven A Schurde

Steven A. Schroeder, MD



Syed M. Ahmed, MD, DrPH Dayton, Ohio

Every single day, I see patients who put off getting care for a long time because they can t afford it. We diagnose many, many cases of chronic health conditions, such as hypertension and diabetes. Many patients didn t know they had these conditions because they hadn t been to a doctor in so long. And others knew they had it, but they couldn t afford to buy medications, so they ignored it.

As a physician I know when a medical condition is ignored, it is definitely going to be magnified in the future. Complications develop, and they are harder to treat. So a condition that could have been managed develops into something much more serious, something more difficult and more expensive to treat.

I wish people who say the uninsured

#### President and CEO

1. Schroeder, S. The Medically Uninsured Will They Always Be With Us? The New England Journal of Medicine, 334: 1130-33, 1996.

2. Blendon R., et al. Report on Public Attitudes Towards Access to Health Care, Harvard School of Public Health/Robert Wood Johnson Foundation/ICR, April 1999. Unpublished.

 American College of Physicians-American Society of Internal Medicine. No Health Insurance?: It s enough to make you sick. Philadelphia, PA: American College of Physicians-American Society of Internal Medicine, 2000, White Paper.

4. Cunningham, P., et al. Managed Care and Physicians Provision of Charity Care, The Journal of the American Medical Association, 281 (12): 1087-92, 1999.

5. US Census Bureau. Current Population Survey, March 1999.

6. Schroeder, p.1130-33.

7. US Census Bureau.

8. Pew Research Center for the People & the Press [Online]. Available at www.people-press.org.

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issue isn t a serious problem would come to the clinic and spend one evening talking to the patients. I see this day in and day out. I know for a fact that people without insurance do not get the care they need. Ignoring an illness never cures one, just like ignoring this uninsured issue will never cure it.

Syed M. Ahmed, MD, DrPH, is a family physician and vice chair for research, Department of Family Medicine, Wright State University. He runs Reach Out of Montgomery County (Ohio), a grantee under Reach Out: Physicians Initiative to Expand Care to the Underserved.

#### 1999 Goals Update

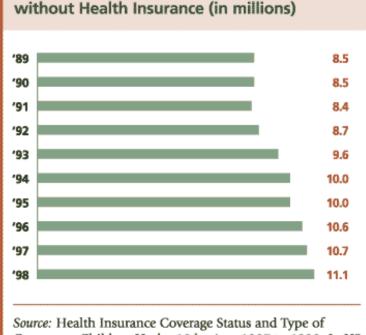
### Access To assure that all Americans have access to basic health care at reasonable cost.

In 1999, the Foundation began to focus its efforts to improve access to care on three strategies: expand coverage for children and the working uninsured; reduce the difficulty the uninsured and other vulnerable populations have getting care; and help those with health insurance keep it.

Decreasing the number of children without health insurance has proven harder than generally anticipated. The year saw grants awarded to 49 states and the District of Columbia under the *Covering Kids* program. The program is designed to help states and local communities identify and enroll eligible children in public and private coverage programs (including new opportunities made possible by the federal government s State Children s Health Insurance Program) and to reduce administrative barriers to their enrollment. Nevertheless, as discussed in the President s Message, the number of children without insurance continues to rise despite the efforts of the Foundation, its grantees, and many others.

In an effort to help interested states increase the number of their citizens with health insurance coverage, the Foundation renewed and refocused its *State Initiatives in Health Care Reform* program, run by the Alpha Center for Health Planning, Washington, DC. The new three-year, \$6.7million program is now called *State Coverage Initiatives*, and is providing states with a variety of products, consulting services, and other resources to help them expand coverage.

#### FACTS



Number of Children Under age 18

Source: Health Insurance Coverage Status and Type of Coverage—Children Under 18 by Age: 1987 to 1998. In US Census Bureau [Online]. Available: www.census.gov/hhes/ hlthins/historic/hihistt3.html [2000, January 7].

Also approved in 1999 was a package of communications projects designed to enhance and sustain expanding health insurance coverage as a priority issue for the nation. These included a grant of \$497,555 to the Alliance for Health Reform, Washington, DC, to help educate the media on coverage issues; and \$110,000 to Greer, Margolis, Mitchell, Burns and Associates, Inc., Washington, DC, to develop clear messages for coverage issues.

Millions of Americans receive health care services in the safety net, an informal network of institutions and providers. To better understand the way the safety net functions, the Foundation awarded two related grants: one for \$354,475 to the New York University, Robert F. Wagner Graduate School of Public Service, New York City, to identify factors that can improve access for uninsured patients and other vulnerable populations, and another for \$256,359 to the University of California, Los Angeles, Center for Health Policy Research to assess the effects of public policy, the health care market, and other factors on access to care for the uninsured and other underserved populations.

Workers between the ages of 45 and 70 are at higher risk for events that could disrupt their connection to the work force and their health insurance coverage such as chronic health conditions, disability, involuntary job loss, widowhood, and caregiving responsibilities for an aging parent. To better understand the complex system for providing continued health care coverage and wage replacement to people who lose their connection to work, the Foundation awarded \$750,000 to the National Academy of Social Insurance, Washington, DC, to conduct cross-cutting analyses on the interrelationships among health, disability and unemployment insurance, Medicare, Medicare, Medicare, Medicare.

The Balanced Budget Act of 1997 (BBA) mandated significant changes to Medicare, one of the most important coverage programs in the nation. One provision, Medicare+Choice, dramatically expanded the options available to Medicare enrollees. Research has shown that many beneficiaries have trouble understanding the expanded options. To better understand how people are responding to the early implementation of the changes, the Foundation provided \$1.9 million to Mathematica Policy Research, Inc., Washington, DC.

While the BBA made some immediate changes, the long-term restructuring of Medicare continues to occupy a central place on the agenda of federal policymakers. In order to help Medicare continue to meet the needs of beneficiaries, the Foundation provided a \$1.2-million grant to the National Academy of Social Insurance to conduct analyses and communications activities advancing decision-makers understanding of the policies and infrastructure needed to make various models of Medicare restructuring work.

In the future, the Foundation expects to continue its efforts to raise awareness, disseminate information, and mobilize support for coverage expansion and retention, particularly on behalf of children. This work will include additional communications activities, analytic activities focusing on the future of health insurance coverage, and support for targeted innovations and demonstration projects. At the same time, efforts to secure and improve care for the underserved will continue. Taken together, this work will help advance the goal of assuring access to care for all Americans at a reasonable cost.

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1999 Goals Update

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### Chronic Health Conditions To improve care and support for people with chronic health conditions.

As we enter the 21st century, the life span of Americans continues to lengthen. Many elderly people living with chronic health conditions that come with advancing age now need additional help, care, and support. By 2030, estimates are that 150 million Americans will be living and coping with chronic illness. Our nation must prepare for these significant needs.

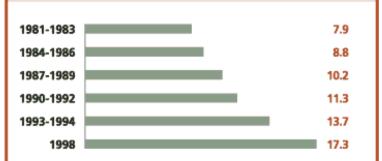
An important effort for the Foundation is to build awareness of this problem and stimulate solutions. To that end, the *Research and Communications Initiative on Meeting the Needs of Those with Chronic Conditions in the 21st Century* was authorized at \$7 million for three years. Under the initiative, The Johns Hopkins University School of Hygiene and Public Health, Baltimore, will conduct research and analysis on a range of issues and possible solutions. The researchers will be linked with a communications firm, charged with disseminating results and building support for action.

The Foundation s continued commitment to supportive services for chronically ill people is evident in the authorization of \$50.5 million for a second round of *Faith in Action*<sup>®</sup>. The program supports local coalitions deploying volunteers to provide daily living assistance to fellow community members with chronic illnesses and disabilities. Since 1993, Faith in Action has supported the start-up of 1,100 local coalitions with 50,000 volunteers nationally, and the goal for this renewal is to increase the number of coalitions to 3,000.

#### High-quality supportive services help people with chronic

#### FACTS

Estimated Average Annual Number of Persons with Self-Reported Asthma (in millions)



Note: Each bar, excluding 1998, represents the number of cases per year, averaged over three years. Three-year averages are used to improve the reliability of the data.

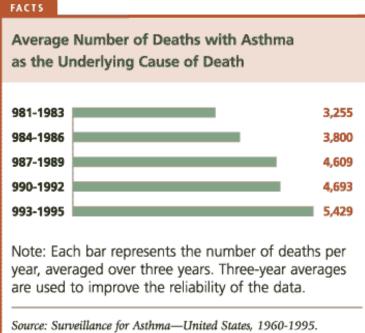
Sources: 1981-1994: Surveillance for Asthma—United States, 1960-1995. Morbidity and Mortality Weekly Report, 47(SS-1) 1-28 [Online]. Available: www.cdc.gov/epo/mmwr/preview/ mmwrhtml/00052262.htm [1998, October 10]. 1998: Forecasted State-Specific Estimates of Self-Reported Asthma Prevalence— United States, 1998. Morbidity and Mortality Weekly Report, 47(47) 1022-1025 [Online]. Available: www.cdc.gov/epo/mmwr/ preview/mmwrhtml/00055803.htm [1998, December 3].

conditions stay in their communities. In 1999, the Foundation renewed *Coming Home*<sup>®</sup>: *Affordable Assisted Living*, a national program in partnership with the NCB Development Corporation. The program provides grant support, technical assistance, and loan funds to states to create affordable models of assisted living especially in smaller and rural communities aimed at low-income seniors and linked with existing community health systems.

The number of people who suffer from asthma has risen sharply since 1980. Now, over 17 million Americans including five million children suffer from asthma. To help tackle this common chronic illness, the Foundation approved two new programs in 1999. Based at the University of Michigan School of Public Health, Ann Arbor, *Allies Against Asthma* is a \$12.5-million multi-site community-based demonstration program to improve efforts to control pediatric asthma.

*Managing Pediatric Asthma: Improving Clinical Care in Vulnerable Populations* was authorized for \$9.75 million to improve children s health, while reducing emergency room visits, hospital admissions, and costs of care of poorly managed cases. Four separate projects make up this program, including *Improving Asthma Care for Children*, a demonstration program of clinical care delivery models, based at the Center for Health Care Strategies, Princeton, New Jersey; and *The Emergency Room Demonstration Program*, based at the American Academy of Allergy, Asthma, and Immunology, Milwaukee, Wisconsin.

A number of public education initiatives were funded to inform the public about the personal, social, and economic impact of chronic health conditions. These include a \$225,034 award to Louis Harris & Associates for public opinion surveys to assess the public s current knowledge about the issues; a \$442,316 award to the University of Pennsylvania to analyze news coverage for key Foundation concerns, including



Source: Surveillance for Asthma—United States, 1960-1995. Morbidity and Mortality Weekly Report, 47(SS-1); 1-28 [Online]. Available: ftp.cdc.gov/pub/Publications/mmwr/SS/SS4701.pdf 1998, October 10]. chronic illnesses; and two awards for projects to improve the depiction of end-of-life issues in television and film. Barksdale Ballard & Company, Vienna, Virginia, and Population Communications International, Inc., New York City, were each funded to work with Hollywood and New York-based writers, producers, and directors on end-of-life issues. Using an episode of the television show ER as a springboard, *Last Acts*<sup>®</sup>, our end-of-life communications campaign, launched a promotional effort to stimulate public interest in discussing end-of-life issues.

Foundation efforts to improve the care that Americans receive at the end of life seek to encourage best practices in palliative care. Mount Sinai School of Medicine, New York City, was awarded a grant to develop an inventory of hospital-based palliative care programs. Additionally, it will implement *Improving Hospital-Based Palliative Care*, a new \$4.7-million program to establish a national resource center to help advance the practice of hospital-based

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palliative care.

Chronic care issues continue to present a tremendous challenge to Americans, and the Foundation s commitment to people with chronic conditions has not wavered. In 2000, our work will include attempts to improve clinical care by reducing the gap between what is known and what is practiced in the treatment of chronic illnesses. Additional work includes improving supportive services to help people with chronic conditions remain in their communities and efforts to increase the number of Americans who receive high-quality care at the end of life.

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RWJF - 1999 Goals Update: Chronic Health Conditions

1999 Goals Update

## Substance Abuse

# To promote health and reduce the personal, social, and economic harm caused by substance abuse—tobacco, alcohol, and illicit drugs.

In 1999, the Foundation continued to emphasize efforts to advance the treatment and prevention of substance abuse, and to promote the problems of alcohol abuse and tobacco and illegal drug use as requiring focused, national attention.

The year saw states debating how to spend their portion of the \$246 billion settlement from US tobacco companies to recover the health costs of tobacco-caused diseases. Recent data show that smoking rates among eighth and tenth graders were higher last year than in 1991, even considering gradual declines since 1997. In many states, however, getting settlement dollars dedicated to tobacco prevention and treatment is a significant political challenge. In this environment, the Foundation renewed its support for *The National Center for Tobacco-Free Kids* for \$50 million. As part of its programming, The Center is providing communications support to state public/private coalitions to educate the public and stimulate discussion on the need to use settlement dollars for tobacco prevention and treatment.

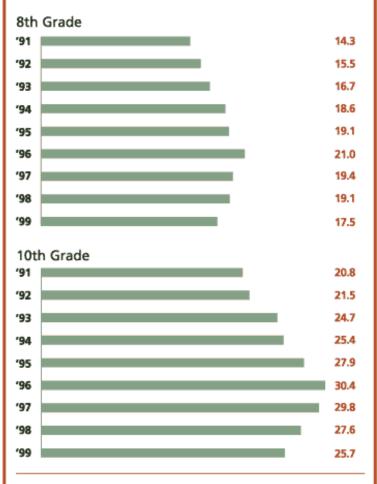
Also on the national front, the federal government s anti-drug advertising and communications campaign to *unsell* drugs to kids continued in 1999. To support these efforts, the Foundation awarded a \$15-million renewal to The Partnership for a Drug-Free America to augment the federal campaign with state media activities and advice on national advertising strategies. A \$15-million renewal was authorized for The National Center on Addiction and Substance Abuse at Columbia University, New York City, for ongoing research and communications aimed at raising national awareness of and response to substance abuse issues.

To help address the problem of underage drinking, the Foundation provided a \$609,883 grant to enlist support of

#### FACTS

#### Trends in 30-Day Prevalence of Cigarette Use by 8th and 10th Graders

Percent who used in last 30 days



Source: Cigarette Trends in 30-Day Prevalence of Use by Subgroups for 8th and 10th Graders. In Monitoring the Future: A Continuing Study of American Youth [Online]. Available:

http://www.rwjf.org/files/publications/annual/1999/substance-abuse-1.html (1 of 2) [8/13/2008 12:16:35 PM]

the spouses of state governors. Because of their visibility and influence, governors spouses have been successful in raising awareness and understanding of other health issues such as breast cancer, mental health, and family violence. Subgroups for 8th and 10th Graders. In Monitoring the Future: A Continuing Study of American Youth [Online]. Available: monitoringthefuture.org/data/99data/pr99cig2.pdf [1999, December 17].

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The program, Leadership to Keep Children Alcohol Free, is also working in partnership with the National Institute on Alcohol Abuse and Alcoholism, the Office of Research on Women's Health, and the Office of Research on Minority Health.

We are learning much from research showing that youth who report close emotional ties with their families and parents are less likely to use tobacco, alcohol, or illegal drugs. Fueled by these findings, the Foundation awarded The Public Relations Society of America Foundation, Inc. (PRSA) \$2.6 million for a workplace program to motivate and prepare parents to discuss substance abuse issues regularly with their children. By tapping the expertise of the PRSA, the nation s premier national public relations organization, this five-site project will benefit from the experts who know how to market to and motivate audiences.

Portraits of popular culture in television, movies, music, and interactive media powerfully influence our ideas, attitudes, and decisions about health, including substance use and abuse. Under a \$3.5-million renewal, the Entertainment Industries Council will continue to work with producers, writers, directors, and distributors for more accurate portrayals of substance abuse and addiction. A cornerstone of the program is the Prism Awards presented annually to recognize outstanding entertainment programs and products that depict substance abuse accurately.

The demand for substance abuse treatment has outstripped community capacity to provide these services. To help address these gaps and to engender new community responses to the problem for adolescents, the Foundation has authorized \$21 million for *Youth Intervention Networks*, a new national program to forge partnerships between juvenile justice and treatment providers at the community level. Through technical assistance, leadership training, and monitoring of program effectiveness, it is hoped that the juvenile justice system will be transformed from a last stop to a new beginning for youth in need of treatment.

The Foundation continued its efforts to help translate the science of substance use and abuse into policies and everyday practice. Building on its *Substance Abuse Policy Research Program*, the Foundation is collaborating with the National Cancer Institute (NCI) and the National Institute on Drug Abuse (NIDA) in creating *Transdisciplinary Tobacco Use Research Centers* to study new ways to combat tobacco use and dependence. The five-year, \$14-million project is designed to accelerate the real-world application of research findings, in part by improving the links between researchers, program and policy developers, and implementers.

The new century brings opportunity and challenge for the prevention and treatment of substance abuse. In response, the Foundation will rigorously harness new technologies to inform and empower people, adopt strategies and disciplines used by innovators in business, science, and public health, and strengthen efforts to measure program effectiveness. All will be needed to address the complexities and consequences of substance abuse in the new millennium.

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## Foundation Update

#### HEALTH

Tobacco Alcohol and Illegal Drugs Health and Behavior Community Health Population-based Health Sciences and Policy

#### HEALTH CARE

Coverage Priority Populations Clinical Care Management End-of-life Care Information Supportive Services In an effort to achieve greater impact and adapt to the growth of our endowment, The Robert Wood Johnson Foundation has reorganized its programming operations and is in the process of developing more targeted programming goals and strategies. The Foundation continues in pursuit of its mission to improve the health and health care of all Americans, and the three goals discussed on the preceding pages. Now, programming is organized into a group focused on improving health and a group focused on improving health care. Within these groups, program work is being conducted by Program Management Teams that are addressing key areas within our mission. Each team is responsible for focusing on a specific goal and developing strategies to reach that goal.

The emphasis on goals is intended to provide Foundation staff and our Board of Trustees with a more concrete means to assess progress on the large problems we re addressing. Our Teams are still developing their goals and strategies, and we

will report to you on their progress next year. Here is a list of the Program Management Teams and, put broadly, the intention of their work.

#### HEALTH DIVISION

Tobacco:

*To decrease the number of Americans who use tobacco.* 

#### **Alcohol and Illegal Drugs:**

To reduce the negative health and social consequences to people from abuse of alcohol and illegal drugs.

#### **Health and Behavior:**

To increase the adoption of healthy behaviors, especially physical activity.

#### **Community Health:**

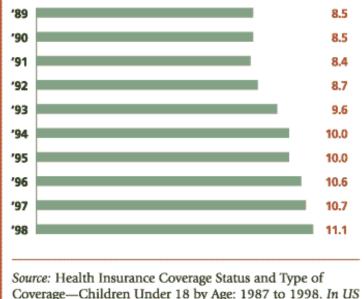
To address community and social factors that promote individual health.

#### **Population-based Health Sciences and Policy:**

To expand the base of knowledge and action to enhance population-wide health improvement.

#### FACTS

### Number of Children Under age 18 without Health Insurance (in millions)



Coverage—Children Under 18 by Age: 1987 to 1998. In US Census Bureau [Online]. Available: www.census.gov/hhes/ hlthins/historic/hihistt3.html [2000, January 7].

#### HEALTH CARE DIVISION

#### **Coverage:**

To increase the number of Americans with health insurance.

#### **Priority Populations:**

To improve access to health care for vulnerable populations with disparities in access.

#### **Clinical Care Management:**

To reduce the gap between what is known and what is practiced for people with chronic disease.

#### **End-of-life Care:**

To increase the number of people who receive high-quality care at the end of life.

#### Information:

To foster change by providing timely, accurate, and relevant information.

#### **Supportive Services:**

To help people with chronic health conditions stay in their communities by increasing access to high-quality supportive services.

While some of the Program Management Teams are working in areas of long-standing interest to the Foundation, other teams are developing new areas for the Foundation. A discussion of grants supporting work in areas of long-standing interest is included in the goals update; grants in 1999 supporting work consistent with the effort of the new Teams include:

- **Community Health:** A grant of \$4.9 million to Youth Sports Connection, San Francisco, in support of a broad-based community initiative to significantly expand youth participation in sports with an emphasis on connecting youth with caring adults and other developmental supports.
- Health and Behavior: Funding of \$284,882 to The Bicycle Federation, Washington, DC, to establish and operate an information clearing-house and support center to create physically-active communities.
- **Population-based Health Sciences and Policy:** Seven new states were awarded planning grants as part of *Turning Point: Collaborating for a New Century in Public Health*, a national program co-funded by the W.K. Kellogg Foundation. Turning Point is designed to strengthen the public health infrastructure. The new states join 14 other states and 41 local communities funded previously.
- **Information:** A renewal award of \$4.9 million to the University of California, Los Angeles, Center for Health Sciences, to continue tracking changes in the provision of alcohol, drug abuse, and mental health services and how these changes are affecting people in communities

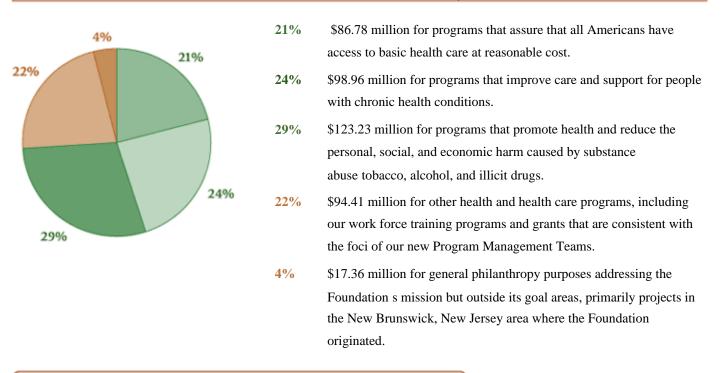
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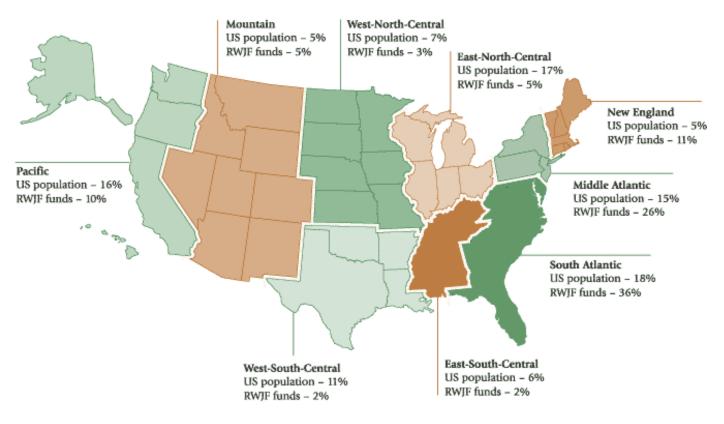
### Distribution of 1999 Funds

During 1999, the Foundation made 668 grants and 84 contracts, totaling \$420.74 million in support of programs and projects to improve health and health care in the United States. These grant funds, viewed in terms of the Foundation's principal objectives, were distributed as follows.

#### DISTRIBUTION OF 1999 AWARDS BY AREAS OF INTEREST (\$420.74 million)



1999 AWARDS BY GEOGRAPHICAL REGION (\$420.74 million)



U.S. population taken from 2000 Census of Populations, U.S. Department of Commerce, Bureau of Census, March, 2001.

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## 1999 Grants and Contracts

The summary of 1999 grants and contracts is grouped according to the Foundation s goal that they address: access, chronic health conditions, or substance abuse. An additional grouping, other health and health care, reflects many of our work force training programs and grants that are consistent with the foci of the new Program Management Teams. Projects addressing more than one goal are included under cross cutting. Projects addressing purposes outside the Foundation s goal areas are included under general philanthropy.

This summary includes 668 grants and 84 contracts initiated in 1999. Contracts are used to purchase a variety of services and products in direct support of the Foundation s grant programs and goals. In addition to the awards made in 1999, the Foundation continued to make payments on and monitor grants and contracts awarded in prior years. At any given time, the Foundation has some 2,300 active grants and contracts.

**Total Grants and Contracts Awarded:** 

\$420,743,246

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## Access

Grants and contracts authorized in the year ended December 31, 1999.

#### GRANTS

#### American Academy of Pediatrics, Inc.

Elk Grove Village, IL

\$49,759—Special edition of Pediatrics Supplement on the Community Access to Child Health (CATCH) program (for 1 year). ID#36245

\$20,400—Bioethics seminar for childrens right to health care (for 1 year). ID#37675

#### American Economic Association Inc.

Nashville, TN

\$34,210—Symposium on Medicare reform (for 6 months). ID#37886

#### University of California, Los Angeles, Center for Health Policy Research

Los Angeles, CA

\$256,359—The Safety Net Assessment Project: Effects of public policy, the health care market, and social and economic factors on access to care for the uninsured (for 1 year). ID#36499

#### Communities in Charge: Financing and Delivering Health Care to the Uninsured

Program to replicate a nationally recognized managed care program for low-income, uninsured, and underinsured persons in Hillsborough County, Florida, which operates as a community-wide safety net for a range of health and human services (for the periods indicated).

Alameda Health Consortium Oakland, CA \$150,000—(1 year). ID#38269 City of Baltimore Department of Health Baltimore, MD \$150,000—(1 year). ID#38274 Community Voices, Inc. El Paso, TX \$150,000—(1 year). ID#38279 The Cooper Green Hospital Foundation, Inc. Birmingham, AL

#### \$149,683—(*1 year*). ID#38271

#### **District of ColumbiaPrimary Care Association**

Washington, DC

\$150,000-(1 year). ID#38277

#### **HIP of Spokane County**

Spokane, WA

\$150,000—(1 year). ID#38278

#### **Indigent Care Collaboration**

Austin, TX

\$149,942-(1 year). ID#38280

#### **Jackson Medical Mall Foundation**

Jackson, MS

\$150,000—(1 year). ID#38283

#### Louisville and JeffersonCounty Health Department

Louisville, KY

\$150,000-(1 year). ID#38275

#### MaineHealth

Portland, ME

\$149,788—(1 year). ID#38272

#### Medcen Foundation, Inc.

Macon, GA

\$149,908—(1 year). ID#38267

#### The Medical Society of Sedgwick County

Wichita, KS

\$150,000-(1 year). ID#38276

#### **Multnomah County Health Department**

Portland, OR

\$150,000-(1 year). ID#38282

#### New Mexico Hospitals & Health Systems Association

Albuquerque, NM

\$150,000-(1 year). ID#38286

#### City of New York, Office of the Brooklyn Borough President

Brooklyn, NY

\$150,000-(1 year). ID#38291

#### **Palmetto Health Alliance**

Columbia, SC

\$149,929—(1 year). ID#38281

#### The Research Foundation of State University of New York (Buffalo)

Albany, NY

\$150,000-(1 year). ID#38289

#### St. Louis 2004 Corporation

St. Louis, MO

\$150,000-(1 year). ID#38284

RWJF - Grants & Contracts: Access

#### San Mateo County Health Services

San Mateo, CA

\$149,837—(1 year). ID#38270

#### Shands Jacksonville Medical Center, Inc.

Jacksonville, FL

\$150,000—(1 year). ID#38268

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## Access

Grants and contracts authorized in the year ended December 31, 1999.

#### GRANTS

#### Covering Kids: A National Health Access Initiative for Low-Income, Uninsured Children

Program to identify and enroll eligible low-income children into public and private coverage programs and to assure the continued enrollment of children as long as they remain eligible (for the periods indicated).

### Madison, WI \$741,570—(3 years). ID#35757 **University of Alabama** Tuscaloosa, AL \$983,259—(3 years). ID#35735 **Children s Defense Fund** St. Paul, MN \$841,977—(3 years). ID#35752

**ABC for Health Incorporated** 

#### The Community Foundation for the National Capital Region

Washington, DC

\$982,118-(3 years). ID#35756

#### **Community Health Councils, Inc.**

Los Angeles, CA

\$999,994—(3 years). ID#35739

#### State of Delaware Department of Health and Social Services, Division of Public Health

Dover, DE

\$859,915—(3 years). ID#35738

#### **Great Basin Primary Care Association Inc.**

Carson City, NV

\$855,000—(3 years). ID#35754

#### The Greater Kansas City Community Foundation

Kansas City, MO

\$902,280—(3 years). ID#35753

### Hawaii State Primary Care Association

Honolulu, HI

\$981,429-(3 years). ID#35741

#### Health Research and Educational Trust of New Jersey

Princeton, NJ

\$999,994—(3 years). ID#35755

#### State of Indiana Office of the Governor

Indianapolis, IN

\$907,142-(3 years). ID#35736

#### State of Iowa Department of Public Health

Des Moines, IA

\$955,482—(3 years). ID#35737

#### State of Kansas Department of Social and Rehabilitation Services

Topeka, KS

\$791,521-(3 years). ID#35095

#### State of Louisiana Department of Health and Hospitals

New Orleans, LA

\$984,956—(3 years). ID#35740

#### The University of Maryland Foundation, Inc.

Baltimore, MD

\$752,280-(3 years). ID#35758

#### **Michigan Public Health Institute**

Okemos, MI

\$1,000,000—(3 years). ID#35588

#### Mississippi Forum on Children and Families Inc.

Jackson, MS

\$998,275—(3 years). ID#35592

#### **Mountain States Group Inc.**

Boise, ID

\$750,000-(3 years). ID#35734

#### State of New Hampshire Department of Health and Human Services

Concord, NH

\$753,465—(3 years). ID#35806

#### State of North Dakota, Children s Services Coordinating Committee

Bismarck, ND

\$669,963-(3 years). ID#36523

#### **Ohio Commission on Minority Health**

Columbus, OH

\$711,261-(3 years). ID#35805

#### **Rhode Island KIDS COUNT, Inc.**

Providence, RI

\$787,564—(3 years). ID#35743

#### South Carolina Hospital Research & Education Foundation Inc.

West Columbia, SC

\$825,950—(3 years). ID#36522

#### University of South Florida Research Foundation Inc.

Tampa, FL

\$1,000,000—(3 years). ID#35090

#### State of Utah, Utah Department of Health

Salt Lake City, UT

\$1,000,000-(3 years). ID#35745

#### Vermont Association of Hospitals and Health Systems Network Services Organization Inc.

Montpelier, VT

\$624,099—(3 years). ID#36525

#### **Commonwealth of Virginia Department of Social Services**

Richmond, VA

\$999,937-(3 years). ID#36631

#### Voices for Children in Nebraska

Omaha, NE

\$677,192-(3 years). ID#35742

#### State of Wyoming Department of Health

Cheyenne, WY

\$986,545-(3 years). ID#36734

#### Southern Institute on Children and Families Inc.

Columbia, SC

\$1,360,920-Technical assistance and direction for Covering Kids (1 year). ID#36131

#### Aspira Association Inc.

Washington, DC

\$14,596—Assessment of Latino parentsawareness of health insurance benefits for low-income, uninsured children (3 months). ID#37076

#### University of Florida Research Foundation Inc.

Gainesville, FL

\$75,002—Monitoring and evaluation technical assistance for states in the Covering Kids program (2 months). ID#37310

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#### GRANTS

#### **Developing Local Infant Mortality Review Committees**

Program to support six pilot sites for the establishment of fetal-infant mortality review committees (for the period indicated).

#### The American College of Obstetricians and Gynecologists

Washington, DC

\$49,606—Publication of a report on the success of ten communities with the fetal and infant mortality

*review process (1 year).* ID#35952

#### Elijah s Promise Inc.

New Brunswick, NJ \$86,902—*On-site health and social service program (for 2 years).* ID#36937

#### **Esperanza Community Housing Corporation**

Los Angeles, CA

\$50,000—Mobilizing trained community health promoters in a medically underserved community (for 1 year). ID#37474

#### **Families USA Foundation Inc.**

Washington, DC

\$268,100—Consumer Health Action 2000 Conference (for 6 months). ID#37706

#### Foundation of the University of Medicine and Dentistry of New Jersey

Newark, NJ

\$35,000—Financial study of the Eric B. Chandler Health Center (for 6 months). ID#36133

#### Georgetown University

Washington, DC

\$49,992—Study of the safety net health care system for indigent persons in Washington, DC (for 6 months). ID#37924

#### Harvard University School of Public Health

Boston, MA

\$50,000—Developing policy analysis white papers on the uninsured (for 7 months). ID#37484

#### **Healthy Kids Replication Program**

Program to replicate in five to seven states the Florida Healthy Kids Program (a subsidized, comprehensive insurance product designed specifically for children ages 0—18 and sold through schools) (for the period indicated).

#### Florida Healthy Kids Corporation

Tallahassee, FL

\$309,788—Technical assistance and direction for the Healthy Kids Replication Program (9 months). ID#33269

#### Healthy Mothers Healthy Babies Coalition Incorporated

Washington, DC

\$20,000—Support for community health workers to attend a conference on maternal and child health (for 8 months). ID#35810

#### Howard University

Washington, DC

\$5,000—Awards recognizing Howard Hospitals pediatric department (for 6 months). ID#37947

#### Making the Grade<sup>®</sup>: State and Local Partnerships to Establish School-Based Health Centers

Program to expand comprehensive health services for school-age children by funding school-based health centers that would be eligible for long-term support through state and local funding policies (for the period indicated).

>>

#### George Washington University

Washington, DC \$525,490—Technical assistance and direction for Making the Grade (1 year). ID#34037

#### University of Maryland at College Park

College Park, MD

\$108,163—Analysis of Latino childrens access to health care (for 9 months). ID#37533

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The President's Message

### The American Paradox: Lack of health insurance in a land of plenty



Steven A. Schroeder, MD

President and CEO

One of our nation s greatest achievements is our health care system. Its strengths abound. We enjoy abundant diagnostic and therapeutic medical technologies and have skilled specialists to employ them. We lead the world in developing new pharmaceutical approaches to manage disease. And our magnificent scientific and medical education systems are the envy of the

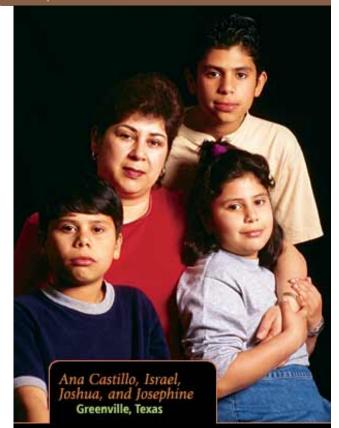
world.

Further, the United States has an enlightened public that keenly understands health care and seeks to make informed choices. We also engage in public activism on health issues. Notable examples include movements to battle breast cancer and HIV/AIDS, anti-smoking advocacy, and Mothers Against Drunk Driving.

This combination of state-of-the-art medical technology, innovative drugs, and an enlightened and activated public, has yielded impressive health status gains over the past two decades. Death rates from heart disease and stroke have plummeted, spurred by declines in smoking and improvements in medical care. Overall life expectancy has increased, infant mortality rates continue to decline, and deaths from motor vehicle accidents have fallen despite increased vehicular traffic.

Yet, much is left undone. Compared with people in other developed countries, we suffer much higher rates of violence, teenage pregnancy, drug addiction, and poverty which itself contributes to poor health status.

Another concern is the high cost of our medical care. Despite five years of medical cost containment, the United States still far exceeds all other nations in per capita health care spending. And the very strategy that has proved so effective in containing costs managed care has triggered an unprecedented backlash. Customers are angry and suspicious, and many health care workers are frustrated and disaffected an unappealing prospect for those who will be in their care. Furthermore, market forces unleashed by managed care are



When you don t have health insurance, you live in constant fear. It s always, always in the back of your mind. You can t help thinking, If my children get sick, how will I pay for the medical care? It s an awful situation.

People might say Oh, just go to the emergency room, just go to the clinic. Yes, maybe they ll treat you. But if you don t have insurance, how are you going to pay the bill? How do you pay for the prescription they give you? Many times I would bring my kids to a clinic, and I could pay the \$10 fee, but I couldn t afford the prescription or the tests they ordered. There were many times my kids had to go without check-ups because I had no money.

When I finally got insurance for my kids, I rejoiced. I finally felt secure. I knew if something happened, I had that eroding the commitment of many hospitals and physicians to provide care for the uninsured.

The uninsured! So many people lack health insurance in America that we have become numb to the problem. Given the unprecedented prosperity of the past decade, with rising incomes and record low unemployment rates,

UNEMPLOYED INCOME UNINSURED SOURCES Trends in Unemployment, Median Household Income, and the Rates of Uninsurance, 1989–98



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card in my hand, I could get medication for my children if they needed it. It s such a feeling of relief, it s hard to describe.

Ana Castillo is an outreach worker at the Greenville Community Health Clinic, which participates in the Texas grant under Covering Kids: A National Access Initiative for Low-Income, Uninsured Children.

http://www.rwjf.org/files/publications/annual/1999/president-message-1.html (2 of 2) [8/13/2008 12:10:09 PM]

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#### GRANTS

#### Massachusetts Health Research Institute, Inc.

Boston, MA

\$99,979—An exploratory study of the development and implementation of hospital free-care policies (for 1 year). ID#36938

#### University of Minnesota School of Public Health

Minneapolis, MN

\$49,954—Analyzing state data needs for monitoring the uninsured (for 8 months). ID#37433

#### National Academy of Social Insurance

Washington, DC

\$750,000—Risks in the second half of work life: ensuring health and income security (for 3 years). ID#36622

#### State of New Jersey Department of Health and Senior Services

Trenton, NJ

\$50,000—New Jersey Minority Health Summit (for 1 year). ID#36032

#### New York University, Robert F. Wagner Graduate School of Public Service

New York, NY

\$354,475—The Safety Net Assessment Project: Identifying the factors that can improve access for uninsured patients and other vulnerable populations (for 1 year). ID#36503

#### Reach Out®: Physicians Initiative to Expand Care to Underserved Americans

Program to challenge private physicians, working with health departments, hospitals, mid-level practitioners, state agencies, and others, to expand their role in the provision of care to the underserved in their communities (for the period indicated).

#### The Center for Health and Social Policy Inc.

Pelham, NY

\$145,520—Evaluation of Reach Out: Phase III (1 year). ID#34942

#### Southern Rural Access Program

Program to increase access to health care services in eight rural underserved states (for the periods indicated).

#### Arkansas Enterprise Group

Arkadelphia, AR \$500,000-(3 years). ID#38123 University of Arkansas Foundation Inc. Little Rock, AR \$536,737-(15 months). ID#35761 Center for Rural Health Development Inc. Charleston, WV \$1,233,297-(2 years). ID#37776 **Geisinger Clinic** Danville, PA \$2,500,000-(30 months). ID#37075 **State of Georgia Department of Human Resources** Atlanta, GA \$383,522-(15 months). ID#36049 Louisiana State University Medical Center New Orleans, LA \$513,678-(15 months). ID#36166 Mississippi Primary Health Care Association Inc. Jackson, MS \$398,156-(18 months). ID#36031 University of Texas Medical Branch at Galveston Galveston, TX \$348,283-(15 months). ID#36073 West Alabama Health Services Inc. Eutaw, AL \$286,917-(18 months). ID#36051 **Geisinger Foundation** Danville, PA \$696,873—Technical assistance and direction for the Southern Rural Access Program (1 year). ID#35958 University of North Carolina at Chapel Hill, Cecil G. Sheps Center for Health Services Research Chapel Hill, NC \$172,273—Planning for an evaluation of the Southern Rural Access Program (1 year). ID#36029

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### Access

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#### GRANTS

#### State Coverage Initiatives

Program to help states plan and develop insurance market reforms, Medicaid reforms, and other significant health care financing and delivery changes (for the periods indicated).

Alpha Center for Health Planning, Inc.Washington, DC\$5,999,246—(3 years). ID#30251State of Connecticut Office of Health Care AccessHartford, CT\$663,924—(3 years). ID#36283State of Kansas Department of AdministrationTopeka, KS\$159,120—(18 months). ID#36339State of New Hampshire Department of Health and Human ServicesConcord, NH\$402,463—(3 years). ID#36401State of Rhode Island Department of Human Services

Cranston, RI

\$400,000-(3 years). ID#38293

#### US Public Health Service, Bureau of Primary Health Care

Bethesda, MD

\$50,000—Campaign to stimulate replication of successful community-based primary care models (for 1 year). ID#37129

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## Access

Grants and contracts authorized in the year ended December 31, 1999.

#### CONTRACTS

#### James A. Block, MD

Baltimore, MD

\$18,000-East Boston Neighborhood Health Care Center assessment (for 1 month). ID#37016

#### **Communications Project**

Multiple Contractors

\$720,000-Health coverage 2000: Meeting the challenge of the uninsured (for 6 months). ID#37604

#### Communities in Charge: Financing and Delivering Health Care to the Uninsured

Program to replicate a nationally recognized managed care program for low-income, uninsured, and underinsured persons in Hillsborough County, Florida, which operates as a community-wide safety net for a range of health and human services (for the periods indicated).

#### Medimetrix Group, Inc.

Cleveland, OH \$352,992—Technical assistance and direction for Communities in Charge (4 months). ID#37332 **New York University, Robert F. Wagner Graduate School of Public Service** New York, NY \$107,045—Technical assistance services on press-related issues (1 year). ID#36233

#### Covering Kids: A National Health Access Initiative for Low-Income, Uninsured Children

Program to identify and enroll eligible low-income children into public and private coverage programs and to assure the continued enrollment of children as long as they remain eligible (for the periods indicated).

#### Arthur Andersen LLP

New York, NY

\$104,000—Intensive technical assistance for the state of Florida on welfare and Medicaid (6 months). ID#37463

\$271,288—Intensive technical assistance for states on welfare and Medicaid (3 months). ID#37197

\$41,500—Intensive technical assistance for states on welfare and Medicaid (1 month). ID#36637

#### **Burness Communications**

Bethesda, MD

\$25,000—Communications assistance for Covering Kids grantees (2 months). ID#36343

#### Greer, Margolis, Mitchell, Burns and Associates, Inc.

Washington, DC

\$20,995—Planning for a strategic media campaign for Covering Kids (1 month). ID#37710

#### Home Front Communications

Washington, DC

\$51,844—Production and distribution of television messages to encourage enrollment in

CHIP (2 months). ID#37711

#### Mathematica Policy Research, Inc.

Washington, DC

\$31,644—Assessment of the potential usefulness of technical assistance to states to improve Medicaid eligibility systems (6 months). ID#37195

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### Access Grants and contracts authorized in the year ended December 31, 1999.

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#### Greer, Margolis, Mitchell, Burns and Associates, Inc.

Washington, DC

\$110,000—Development of a communications strategy to advance the health coverage issue (for 2 months). ID#38072

#### HayGroup

Arlington, VA

\$62,000—Actuarial analysis of proposals for Health Coverage 2000 Conference (for 4 months). ID#38353

#### Health Systems Research, Inc.

Washington, DC

\$89,147—Meeting to explore demonstration or simulation methods of testing after-tax credits and vouchers for health care coverage (for 6 months). ID#37288

#### **Pro-Media Public Relations**

New York, NY

\$478,240—Americas uninsured op-ed campaign (for 16 months). ID#37769

#### Sutton Social Marketing, LLC

Washington, DC

\$46,991—Preliminary opinion research on public attitudes towards insurance coverage for the working poor (for 3 months). ID#36770

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## Chronic Health Conditions

Grants and contracts authorized in the year ended December 31, 1999.

#### GRANTS

#### **AAHP Foundation**

Washington, DC

\$264,294—HMO Workgroup on Care Management (for 31 months). ID#37564

#### Allies Against Asthma: A Program to Combine Clinical and Public Health Approaches to Chronic Illness

Program designed to test the ability of community-based coalitions to mount a comprehensive attack on the remediable causes of asthma and to effectively reduce the morbidity and loss of productivity due to pediatric asthma (for the period indicated).

#### University of Michigan School of Public Health

Ann Arbor, MI \$595,078—Technical assistance and direction for Allies Against Asthma (16 months). ID#35514

#### **Alzheimer s Disease and Related Disorders Association**

Washington, DC

\$229,811—Chronic care networks for Alzheimers disease (for 1 year). ID#37013

#### University of Arkansas for Medical Sciences

Little Rock, AR

\$21,000—National conference to identify research issues surrounding clinical practice guidelines for special needs children (for 7 months). ID#36628

#### **Breast Cancer Recovery Foundation Inc.**

Madison, WI

\$49,977—Pilot study of the biological and psychosocial health benefits of participation in a retreat for women with breast cancer (for 1 year). ID#35721

#### Brown University Center for Gerontology & Health Care Research

Providence, RI

\$2,390,000—Monitoring national, state, and local indicators of end-of-life care (for 5 years). ID#37188

#### **Building Health Systems for People with Chronic Illnesses**

Initiative to find models of caring for people with chronic illnesses that will overcome the fragmentation, financing barriers, and

episodic care of the current system (for the period indicated).

#### George Washington University Medical Center

Washington, DC \$545,018—Project to increase access to health coverage for persons with chronic disabling conditions (2 years). ID#36250

#### **Cash and Counseling Demonstration and Evaluation**

Program to test and evaluate the efficacy of programs in four states that offer clients the choice of receiving monthly cash allowances instead of case managed service benefits (for the period indicated).

#### University of Maryland Center on Aging

College Park, MD \$608,076—Technical assistance and direction for Cash and Counseling Demonstration and Evaluation (1 year). ID#36498

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# **Chronic Health Conditions**

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#### GRANTS

#### Center for Health Care Strategies Supporting Organization Inc.

Princeton, NJ

\$495,689—Exploring barriers to financing and treating pediatric asthma (for 18 months). ID#37659

#### **The Center School**

Highland Park, NJ

\$30,000—Summer therapy program for high-risk learning disabled students (for 3 months). ID#36300

#### University of Chicago Center for Health Administration Studies

Chicago, IL

\$186,010—Study of Medicare beneficiaries characteristics for implementing Medicare+Choice (for 18 months). ID#35149

#### Coming Home®: Affordable Assisted Living

Program run through the NCB Development Corporation to undertake the development of rural community-based systems of chronic care (for the period indicated).

NCB Development Corporation

Oakland, CA \$6,499,913—(5 years). ID#36072

#### **Community-State Partnerships to Improve End-of-Life Care**

Program to support the work of state-based commissions and task forces to identify and implement changes in policy and practice to improve care for people at the end of life (for the periods indicated).

**University of Alabama at Birmingham** Birmingham, AL

\$449,314-(3 years). ID#38454

The Association of Kansas Hospices

Wichita, KS

\$375,000-(3 years). ID#37599

#### **California Health Foundation and Trust**

Sacramento, CA

\$340,000—(2 years). ID#37784
DCHA Program Services Company Inc.
Washington, DC
\$75,000—(11 months). ID#36322
Florida Hospices and Palliative Care, Inc.
Tallahassee, FL
\$449,960—(3 years). ID#37917
Foundation for Healthy Communities
Concord, NH
\$449,747—(3 years). ID#37881
HealthInsight
Salt Lake City, UT
\$374,964—(3 years). ID#38455
Hospice for the Carolinas Inc.
Raleigh, NC

\$375,000–(3 years). ID#38474

#### Indiana University

Bloomington, IN

\$74,728-(9 months). ID#37819

#### The University of Iowa

Iowa City, IA

\$375,037—(3 years). ID#38458

#### Kentucky Hospital Research and Education Foundation

Louisville, KY

\$376,093—(3 years). ID#38202

#### Metropolitan Area Agency on Aging Inc.

St. Paul, MN

\$445,140-(2 years). ID#37635

#### **Michigan Hospice Organization**

Lansing, MI

\$450,000—(3 years). ID#38452

#### New Jersey Health Decisions, Inc.

Verona, NJ

\$450,000—(3 years). ID#38219

#### North Dakota Medical Research Foundation

Bismarck, ND

\$75,000—(10 months). ID#36224

\$375,000-(2 years). ID#38457

#### Oklahoma Association for Healthcare Ethics Inc.

Oklahoma City, OK

\$373,683—(30 months). ID#37636

#### Sage Services of Connecticut, Inc.

New Haven, CT

\$375,000-(2 years). ID#38453

West Virginia University Foundation Inc. Morgantown, WV \$375,000—(2 years). ID#38456 Midwest Bioethics Center, Inc. Kansas City, MO \$742,013—Technical assistance and direction for Community-State Partnerships to Improve End-of-Life Care (1 year). ID#34036

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# **Chronic Health Conditions**

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#### GRANTS

#### Faith in Action<sup>®</sup>: Replication of the Interfaith Volunteer Caregivers Program

Program to help support the establishment of approximately 1100 interfaith volunteer caregiver projects for all ages with chronic health conditions (for the periods indicated).

Fannin County Empty Stocking Program Inc. Blue Ridge, GA \$17,018-(9 months). ID#38328 Hospice of the Bluegrass, Inc. Lexington, KY \$25,000-(18 months). ID#37099 Volar Center for Independent Living El Paso, TX \$19,695-(13 months). ID#36644 \$19,695—(13 months). ID#36645 \$19,695-(13 months). ID#36646 \$19,695-(13 months). ID#36647 \$19,695—(13 months). ID#36648 **YMCA of Greater El Paso** El Paso, TX \$19,695—(14 months). ID#37473 \$19,695-(14 months). ID#37476 \$19,695-(14 months). ID#37478 \$19,695—(14 months). ID#37479 \$19,695-(14 months). ID#37480 **Kingston Hospital** Kingston, NY \$3,880,000-(18 months). ID#36057 \$7,030,000-(18 months). ID#36796 **Kingston Hospital** 

Kingston, NY

\$443,235—Technical assistance and direction for Faith in Action (8 months). ID#36537

\$354,730—Technical assistance and direction for Faith in Action (6 months). ID#38429

#### Family Friends: A Program to Enable Older Volunteers to Assist Disabled Children and Their Families

Program to match older volunteers (age 55 or older) with chronically ill or disabled children (age 12 or younger) and their families (for the period indicated).

#### National Council on the Aging Inc.

Washington, DC

\$25,000-Recognizing volunteers of the Family Friends program (6 months). ID#37854

#### **Friends Health Connection**

New Brunswick, NJ \$650,000—Statewide program with New Jersey hospitals for post discharge patient support (for 3 years). ID#35568

#### Funders Concerned About AIDS, Inc.

New York, NY \$10,000—Organizational support for Funders Concerned About AIDS (for 1 year). ID#36663

#### Home Care Research Initiative

Program for researchers and policy analysts to explore key issues in the area of home and community-based care for the chronically ill (for the period indicated).

#### Visiting Nurse Service of New York

New York, NY

\$365,397—Technical assistance and direction for the Home Care Research Initiative (1 year). ID#34403

#### Improving Asthma Care for Children

Program to test new approaches to pediatric asthma management through publicly financed models of care (for the period indicated).

#### Center for Health Care Strategies Supporting Organization Inc.

Princeton, NJ

\$423,367—Technical assistance and direction for Improving Asthma Care for Children (1 year). ID#37702

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# **Chronic Health Conditions**

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#### GRANTS

#### **Improving Hospital-Based Palliative Care**

Establishment of a National Resource Center for Palliative Care that will increase the number of hospitals that have the capability to provide quality palliative care; create sufficient momentum that hospital-based palliative care becomes a standard practice in comprehensive patient care; and provide leadership in the development of standards for palliative care programs (for the periods indicated).

Health Research and Educational Trust Chicago, IL \$153,989—(1 year). ID#38339 Mount Sinai School of Medicine of New York University New York, NY

## \$4,742,893—(4 years). ID#37515

#### Independent Choices: Enhancing Consumer Direction for People with Disabilities

Program to foster the development of consumer-directed home and community-based services for people of all ages with chronic disabilities (for the period indicated).

#### National Council on the Aging Inc.

Washington, DC

\$345,367—Technical assistance and direction for Independent Choices (1 year). ID#33877

#### Jewish Center for Aged

Chesterfield, MO

24,492—Restorative nursing and rehabilitative services program for nursing home residents (for 1 year). ID#37461

#### Lupus Foundation of America

Rockville, MD

\$78,000—Documentary video on living with a chronic disease (for 1 year). ID#34434

#### Managing Pediatric Asthma: Emergency Room Demonstration Program

*Program to undertake comprehensive interventions to reduce emergency room visits and hospital admissions (for the period indicated).* 

#### The American Academy of Allergy, Asthma & Immunology, Inc.

Milwaukee, WI

\$369,238—Technical assistance and direction for Managing Pediatric Asthma (1 year). ID#37703

#### University of Maryland School of Medicine

Baltimore, MD

\$202,401—Evaluation of an education program for families of persons with serious mental illness (for 2 years). ID#35623

#### Massachusetts Caring for Children Foundation, Inc.

Boston, MA

\$10,000-National funders conference on child mental health (for 4 months). ID#37465

#### Medical Media Associates Inc.

Buffalo, NY

\$49,803—Woman to Woman: A video series for breast cancer patients (for 1 year). ID#37216

#### National Minority AIDS Council Inc.

Washington, DC

\$25,000-US Conference on AIDS (for 3 months). ID#38065

#### National Academy of Social Insurance

Washington, DC

\$100,000—*Estimates of workers compensation benefits, coverage, and costssupplemental support (for 1 year).* ID#36815 \$1,201,493—*Making Medicare Restructuring Work: Policy Decisions for the Next Decade (for 2 years).* ID#37605

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# Chronic Health Conditions

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#### GRANTS

#### New Jersey Foundation for Aging Inc.

Trenton, NJ

\$50,000—Developing a public-private partnership for New Jerseys aging services network (for 1 year). ID#35271

#### **Oregon Health Sciences University**

Portland, OR

\$30,000—National leadership summit on self-determination, consumer direction, and consumer control among people with disabilities (for 10 months). ID#36344

#### **Population Communications International, Incorporated**

New York, NY

\$25,000—Session on death and dying at a conference for the prime time television industry (for 3 months). ID#37127

#### Promoting Excellence in End-of-Life Care

Program to foster long-term changes in health care institutions to substantially improve care for dying persons and their families. Key issues include improving pain and symptom management, involving patient and family in decision-making, lessening the burden on family and loved ones, preserving dignity, meeting spiritual needs, and allowing for bereavement. Expert workgroups will convene to develop strategies to expand the application of palliative care to various health care settings and patient groups (for the periods indicated).

#### **University of Montana**

Missoula, MT

\$799,904—Technical assistance and direction for Promoting Excellence in End-of-Life Care (1 year). ID#34228

#### **University of Montana**

Missoula, MT

\$847,888—Promoting Excellence in End-of-Life Care peer-to-peer workgroups (20 months). ID#37526

#### **RAND** Corporation

Santa Monica, CA

\$228,800—Study to develop policy options to improve pediatric asthma outcomes in the US (for 1 year). ID#37143

#### **Recording for the Blind & Dyslexic Incorporated**

Princeton, NJ

\$72,800—Pilot project with New Brunswick, NJ, Public Schools to improve performance of dyslexic students (for 1 year). ID#34764

#### Research and Communications Initiative on Meeting the Needs of Those with Chronic Conditions in the 21st Century

Program to raise awareness of the problems of chronic illness in the 21st century and develop public and private solutions to those problems (for the periods indicated).

#### The Johns Hopkins University School of Hygiene and Public Health

Baltimore, MD

\$5,000,000-(3 years). ID#37049

The Johns Hopkins University School of Hygiene and Public Health

Baltimore, MD

\$98,599—National program to improve the care and outcomes of persons with chronic diseases (5 months). ID#36486

#### Self-Determination for Persons with Developmental Disabilities

Program to help states implement a more cost-effective system while simultaneously allowing families and persons with disabilities more choice in determining the services they receive (for the periods indicated).

University of New Hampshire

Concord, NH

\$748,001—Technical assistance and direction for Self-Determination for Persons with Developmental Disabilities (1 year). ID#37528

#### **University of New Hampshire**

Concord, NH

\$206,567—Engaging national organizations in promoting self-determination for persons with developmental disabilities (1 year). ID#36492

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## **Chronic Health Conditions**

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#### GRANTS

#### **Setting Priorities for Retirement Years Foundation**

Washington, DC

\$96,980—Understanding principles of older adult learning in order to increase effective communication of new health information and decision-making (for 8 months). ID#36002

#### **Targeted End-of-Life Projects Initiative**

Program to support solicited and unsolicited projects under \$1 million consistent with the Foundations end-of-life strategic objectives (for the periods indicated).

**American Library Association** Chicago, IL \$170,313-(15 months). ID#34625 **American Medical Association** Chicago, IL \$400,000-(1 year). ID#36767 **Beth Israel Deaconess Medical Center Inc.** Boston, MA \$24,769—(6 months). ID#37831 Brown University Center for Gerontology & Health Care Research Providence, RI \$53,427-(8 months). ID#36185 **Education Development Center, Inc.** Newton, MA \$383,275—(1 year). ID#36037 The General Hospital Corporation-Massachusetts General Hospital Boston, MA \$997,873—(3 years). ID#34352 Harvard Medical School Boston, MA

## \$76,619-(1 year). ID#36415 **Hospital Research and Educational Trust** Washington, DC \$798,295-(3 years). ID#36337 **Midwest Bioethics Center, Inc.** Kansas City, MO \$41,500-(9 months). ID#38338 **Missoula Demonstration Project Inc.** Missoula, MT \$699,146-(2 years). ID#36677 Mount Sinai School of Medicine of New York University New York, NY \$128,020-(9 months). ID#37018 National Hospice Organization, Inc. Alexandria, VA \$357,275-(15 months). ID#36684 The New York Academy of Medicine New York, NY \$268,792-(18 months). ID#35981 University of North Carolina at Chapel Hill School of Nursing Chapel Hill, NC \$29,694-(1 year). ID#37538 University of Washington School of Medicine Seattle, WA \$214,848-(2 years). ID#36351 West Virginia University Foundation Inc. Morgantown, WV \$72,250-(1 year). ID#38466 Medical College of Wisconsin Inc. Milwaukee, WI \$669,043-(3 years). ID#36669 \$998,865—(3 years). ID#36547 University of Wisconsin-Madison Medical School Madison, WI \$998,000-(30 months). ID#36509

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The President's Message

## The American Paradox: Lack of health insurance in a land of plenty

one would expect the number of uninsured to be falling. But it continues to rise, climbing from about 39 million in 1992 to more than 44 million in 1998. This amounts to about 16 percent of the entire population, and more than 18 percent of those under age 65. Of these 44 million, 11 million one quarter are children.

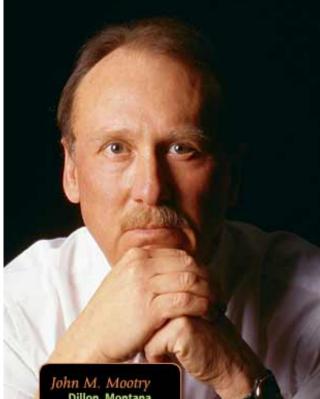
National health care experts are not very optimistic that we will soon see an expansion of health insurance coverage in our country. Recently The Robert Wood Johnson Foundation commissioned The Institute for the Future to envision the state of health care in the year 2010. It crafted three possible scenarios: the most optimistic forecast was that 30 million would still be uninsured in 2010; the neutral case had the number at 47 million; and the pessimistic one was 65 million.

Why does the wealthiest nation in history tolerate having so many people go without basic health insurance? I pondered this question four years ago in an article published in the *New England Journal of Medicine*.<sup>1</sup> Since then, the situation has deteriorated further. Here, as I see them, are the arguments that are made for why we should not or cannot secure health insurance for all Americans and their limitations.

#### The uninsured can get the care they need.

In 1993, 43 percent of Americans thought uninsured people could get health care when they needed it. By 1999, 57 percent thought so.<sup>2</sup> There is some truth here; few people bleed to death in our streets for lack of care. But abundant data demonstrate that being uninsured means having less access to needed medical care. Compared with people who are insured, people who aren t insured experience major delays in receiving care, have trouble getting needed medications, and have much higher rates of hospitalizations for potentially treatable or preventable conditions such as hypertension, asthma, and the complications of diabetes.<sup>3</sup>

Further, it may be getting harder for people without insurance to obtain necessary care. Recent evidence indicates that less charity care is provided in areas of the country where managed care penetration is deepest.<sup>4</sup> Additionally, market pressures constrain hospitals and medical groups from



Dillon, Montana

This hospital plays many roles in the community. The area we serve is about 5,500 square miles with a population of about 10,000 people. We re the only hospital in the county. We operate the county health department, as well as the only home health care agency, and the only hospice. We provide family planning services, immunizations, and school nursing.

There is a very codependent relationship between the ability of people to be able to pay for services through insurance and our ability to provide services that don t pay for themselves. Part of our mission is to take care of anybody, regardless of their ability to pay. But the money has to come from somewhere.

Too many people here simply cannot afford health insurance. The hospital overcharging private insurers and Medicare in order to subsidize indigent care. Furthermore, public hospitals and clinics face budget constraints in this era of state and local tax cuts.

#### FACTS

## Comparison Between Uninsured and Insured Americans on Selected Measures

Uninsured Americans, compared with the insured are:

Up to **3.6** times more likely to delay seeking care.

Up to **2.8** times more likely to experience an avoidable hospitalization for diabetes.

Up to **2.4** times more likely to experience an avoidable hospitalization for hypertension.

Up to **1.6** times more likely to be hospitalized for a bleeding ulcer.

Source: American College of Physicians-American Society of Internal Medicine. No Health Insurance? It's enough to make you sick. Philadelphia, PA: American College of Physicians-American Society of Internal Medicine, 2000: White Paper.

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#### had an operating loss of \$365,000 last

year. We ve scaled back to the point now where we have to decide what services we can afford to continue and which will have to be cut, as difficult as that will be. We have no choice.

John M. Mootry is chief executive officer of Barrett Memorial Hospital, Dillon, Montana; Barrett Memorial is a grantee under Reach Out: Physicians Initiative to Expand Care to the Underserved.



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# **Chronic Health Conditions**

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#### GRANTS

#### University of Texas Health Science Center at San Antonio

San Antonio, TX

\$180,481—Glycemic control in patients with depression and diabetes mellitus: Impact of treatment for depression (for 4 years). ID#37677

#### **United Hospital Fund of New York**

New York, NY

\$513,964—Developing information tools to coordinate long-term care of community-based managed care services providers (for 3 years). ID#32319

#### Visiting Nurse Service of New York

New York, NY

\$200,000—Promoting elders health and well-being: benchmarks for supportive communities (for 1 year). ID#36955

#### University of Washington School of Nursing

Seattle, WA

\$1,584,242—End-of-life toolkit for nursing school faculty and practicing nurses (for 4 years). ID#35106

#### Workers Compensation Health Initiative

Program of demonstration and evaluation projects aimed at containing costs and improving the quality of care provided to injured workers (for the periods indicated).

Public Health Institute Berkeley, CA \$81,079—(1 year). ID#37922 State of Rhode Island Department of Labor and Training Providence, RI \$267,500—(2 years). ID#37820 University of Texas Health Science Center at Houston Houston, TX

\$350,265-(2 years). ID#38151

#### University of Massachusetts Medical School

Worcester, MA

\$423,636—Technical assistance and direction for the Workers Compensation Health Initiative (1 year). ID#35959

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# Chronic Health Conditions

Grants and contracts authorized in the year ended December 31, 1999.

#### CONTRACTS

#### Birch and Davis Associates, Inc.

Silver Spring, MD

\$25,114—*Conference on performance measurement and quality improvement in the treatment of depression (for 6 months).* ID#37748

#### **Communications Projects**

Multiple Contractors

\$24,362—Content analysis of media reporting on Dr. Kevorkian (for 1 month). ID#36350

\$48,032—Improving end-of-life care in managed care report (for 6 months). ID#36375

\$73,700—Developing a media guide and related projects on chronic care (for 4 months). ID#37086

#### Faith in Action<sup>®</sup> II

Program to expand the continued replication of the Interfaith Volunteer Caregivers Model, providing volunteer caregiving to people of all ages with chronic health conditions (for the periods indicated).

#### **Communications Project**

Multiple Contractors \$64,933—Faith in ActionII pre-launch communications activities (3 months). ID#37705

#### Harry R. Moody, PhD

Pallisades, NY

\$94,650—Technical assistance and direction for Faith in ActionII (3 months). ID#38287

#### Home Care Research Initiative

Program for researchers and policy analysts to explore key issues in the area of home and community-based care for the chronically ill (for the period indicated).

### Laguna Research Associates, Inc.

San Francisco, CA

\$1,199,981—(3 years). ID#37040

#### Louis Harris & Associates, Inc.

New York, NY

\$225,034—Public opinion survey of chronic care issues (for 3 months). ID#37084

#### Harold A. Pincus, MD

Chevy Chase, MD

\$67,254—Consulting on depression in primary care project (for 6 months). ID#37455

\$34,812—Consulting on depression in primary care project (for 6 months). ID#38506

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#### Grants & Contracts

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# Chronic Health Conditions

Grants and contracts authorized in the year ended December 31, 1999.

#### CONTRACTS

#### Program to Promote Long-Term Care Insurance for the Elderly

Program to stimulate private/public partnerships at the state level for the development of long-term care insurance for the elderly (for the period indicated).

#### Laguna Research Associates, Inc.

San Francisco, CA

\$124,998—Maintain the national evaluation database for the Partnership for Long-Term Care and conduct a study of Partnership purchasers who lapse their policy (33 months). ID#37853

#### **Targeted End-of-Life Projects Initiative**

Program to support solicited and unsolicited projects under \$1 million consistent with the Foundations end-of-life strategic objectives (for the periods indicated).

## George I. Balch, PhD Oak Park, IL \$238,697—Assessing progress and opportunities for the Last Acts initiative (6 months). ID#38049 Barksdale Ballard & Company

Vienna, VA

\$150,250—(1 year). ID#37832

#### Milan Basta, MD, PhD

Potomac, MD

\$96,465—(8 months). ID#36576

#### **Burness Communications**

Bethesda, MD

\$382,532—(1 year). ID#36765

#### **Communications Projects**

Multiple Contractors

\$125,000—(1 year). ID#37266

\$500,000—(3 months). ID#38189

## Spann Publications Consulting, L.L.C.

Pittsburgh, PA

\$371,842—(1 year). ID#36635

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#### Stewart Communications, Ltd.

Chicago, IL

\$820,639—(1 year). ID#36658

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# Substance Abuse

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#### GRANTS

#### Addressing Tobacco in Managed Care

**Allina Medical Group** 

Program to promote adoption of innovative approaches for helping Americans enrolled in managed care organizations avoid the harm caused by tobacco (for the periods indicated).

## Minneapolis, MN \$499,946—(27 months). ID#36023 **Columbia University** New York, NY \$499,271—(30 months). ID#36025 **Maine Medical Assessment Foundation** Manchester, ME \$48,901—(1 year). ID#36387 **Wake Forest University School of Medicine** Winston-Salem, NC \$50,000—(1 year). ID#36386 **Washington University** St. Louis, MO

\$500,000—(3 years). ID#36026

#### University of Wisconsin-Madison Medical School

Madison, WI

\$478,164—Technical assistance and direction for Addressing Tobacco in Managed Care (1 year). ID#34753

#### Brandeis University, Florence Heller Graduate School for Advanced Studies in Social Welfare

Waltham, MA

\$226,072-Evaluation of Addressing Tobacco in Managed Care: Phase I (6 months). ID#37944

#### **AAHP Foundation**

Washington, DC

\$350,000—National Technical Assistance Office for Addressing Tobacco in Managed Care (1 year). ID#35417

#### The Advocacy Institute

Washington, DC

\$50,000—Continued funding for disseminating tobacco control news through SCARCNet (for 3 months). ID#38127

#### The University of Akron

Akron, OH

\$432,797—Planning support for a collaborative effort to revise and evaluate the DARE Middle School Program (for 7 months). ID#37809

#### American Society of Addiction Medicine, Inc.

Chevy Chase, MD

\$50,000—Conference and report on addiction treatment in managed care (for 18 months). ID#35394

#### **City of Boston, Boston Police Department**

Boston, MA

\$299,961—Reduce substance abuse and violence by identifying and intervening with at-risk truant youth (for 1 year). ID#36449

#### **Boston University School of Public Health**

Boston, MA

\$2,378,960—National resource for community substance abuse initiatives (for 1 year). ID#27954

\$371,390-Evaluation of the telephone-based Smokers Quitline (for 30 months). ID#36764

#### Brandeis University, Florence Heller Graduate School for Advanced Studies in Social Welfare

Waltham, MA

\$123,670—Assisting state policymakers in reducing youth access to tobacco (for 13 months). ID#36353

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## Substance Abuse

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#### GRANTS

#### **Brown University Center for Alcohol and Addiction Studies**

Providence, RI

\$749,951—Building consensus and public awareness activities for a national drug policy based on medicine and public health (for 2 years). ID#37544

#### University of California, San Diego

La Jolla, CA

\$599,681—Longitudinal survey of adolescent smoking in California (for 2 years). ID#35086

#### University of California, San Francisco, School of Nursing

San Francisco, CA

\$49,999—Substance abuse policy research symposium (for 11 months). ID#35859

#### Center for the Advancement of Health

Washington, DC

\$95,674—Coordination for youth tobacco cessation partnership (for 1 year). ID#37525

#### Center for Science in the Public Interest

Washington, DC

\$196,894—*Technical assistance, training, and communications to support state and local alcohol policy development (for 1 year).* ID#36935

#### **Children s Hospital Corporation**

Boston, MA

\$336,489—Development of a screening instrument to assess adolescents at risk for substance abuse in primary care settings (for 30 months). ID#36126

#### **Dartmouth-Hitchcock Medical Center**

Lebanon, NH

\$99,849—Evaluating attitudes about alcohol and tobacco use in young children (for 2 years). ID#37642

#### **Developing Leadership in Reducing Substance Abuse**

Program to increase leadership capacity and build prestige in the substance abuse field by providing mentoring experience for proteges from the fields of alcohol, tobacco, and illegal drugs who work within the fields of education, advocacy, research, and/ or policy (for the period indicated).

#### Foundation of the University of Medicine and Dentistry of New Jersey

Newark, NJ

\$405,297—Technical assistance and direction for Developing Leadership in Reducing Substance Abuse (1 year). ID#35415

#### **Drug Strategies**

Washington, DC

\$50,000—Publication of an in-depth assessment of community anti-drug coalitions (for 1 year). ID#37148

\$49,950—Nancy Dickerson Whitehead Award for Excellence in Reporting on Drug and Alcohol Problems (for 1 year). ID#37921

#### **Entertainment Industries Council Incorporated**

Reston, VA

\$3,598,148—Encouraging accurate depictions of substance abuse and addiction in entertainment industry products (for 2 years). ID#36504

#### Fighting Back<sup>®</sup>: Community Initiatives to Reduce Demand for Illegal Drugs and Alcohol

Support of community-wide efforts to reduce alcohol and drug abuse through public awareness strategies, prevention, early identification, and treatment interventions (for the periods indicated).

#### **Boston University School of Public Health**

Boston, MA

\$746,028—Technical assistance and direction for Fighting Back (1 year). ID#34751

#### Brandeis University, Florence Heller Graduate School for Advanced Studies in Social Welfare

Waltham, MA

\$1,572,962—Evaluation of Fighting BackThe second phase of program implementation (19 months). ID#33874

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## Substance Abuse

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#### GRANTS

#### Free To Grow: Head Start Partnerships to Promote Substance-Free Communities

Program to develop and implement models for the Head Start Program to increase its capacity to strengthen the family and neighborhood environment for high-risk preschool children to reduce the childrens vulnerability to substance abuse and related problems (for the period indicated).

#### Joseph L. Mailman School of Public Health at Columbia University

New York, NY

\$179,336—Technical assistance and direction for Free to Grow (6 months). ID#38139

#### Harvard University

Cambridge, MA

\$49,510—Developmental approach to the prevention of adolescent drinking and smoking (for 1 year). ID#35912

#### Harvard University School of Public Health

Boston, MA

\$176,440—Massachusetts Tobacco Control Research Training Program (for 1 year). ID#34916

#### Health Research, Inc.

Buffalo, NY

\$452,641—Support for Tobacco Control Journal (for 3 years). ID#36461

#### Healthy Nations<sup>®</sup>: Reducing Substance Abuse Among Native Americans

Initiative to help Native Americans reduce the harm caused by substance abuse in their communities (for the period indicated).

#### University of Colorado Health Sciences Center

Denver, CO

\$487,832—Technical assistance and direction for Healthy Nations (1 year). ID#35424

#### **Innovators Combating Substance Abuse**

Program to highlight substance abuse as the nations number one health problem by recognizing those who are striving to bring creative solutions to the field of substance abuse (for the period indicated).

#### Foundation of the University of Medicine and Dentistry of New Jersey

Newark, NJ

\$294,469—Technical assistance and direction for Innovators Combating Substance Abuse (1 year). ID#38607

#### Institute for Civil Society Inc.

Newton, MA

\$372,827—Technical assistance to improve the practice of philanthropy in professional sports to benefit community health (for 1 year). ID#38221

#### Kaiser Foundation Hospitals, Kaiser Foundation Research Institute

Portland, OR

\$178,500—Developing and testing an expanded Health Plan Employer Data and Information Set tobacco measure (for 1 year). ID#37080

#### A Matter of Degree: Reducing High-Risk Drinking Among College Students

Program to develop model approaches to reduce student high-risk drinking on campus and in the surrounding community by developing college/community partnerships (for the periods indicated).

## The Florida State University Research Foundation, Incorporated Tallahassee, FL \$700,325—(5 years). ID#37546 Georgia Tech Research Corporation Atlanta, GA \$700,118—(5 years). ID#37547 American Medical Association Chicago, IL \$593,285—Technical assistance and direction for A Matter of Degree (1 year). ID#36491 American Medical Association Chicago, IL \$134,684—Initial research and planning for a professional media campaign addressing student binge

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drinking (1 year). ID#36281

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## Substance Abuse

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#### GRANTS

#### The Miriam Hospital

Providence, RI

\$197,015—Computer-assisted prevention system for primary care (for 2 years). ID#36639

#### **Mothers Against Drunk Driving**

Irving, TX

\$199,000—Underage drinking prevention advertisements for television and billboards (for 1 year). ID#36616

\$250,000-National youth summit to prevent underage drinking (for 1 year). ID#37306

#### National Academy of Sciences Institute of Medicine

Washington, DC

\$41,742—Developing manuscript based on the report, Marijuana and Medicine: Assessing the Science Base (for 6 months). ID#35537

#### National Association of African Americans for Positive Imagery

Philadelphia, PA

\$596,596—Strengthening the capacity of the African-American community in tobacco and alcohol control (for 3 years). ID#32938

#### National Center for Tobacco-Free Kids

Program to support a national campaign to reduce youth tobacco use through the establishment of a center that develops a national strategy, serves as a media center, provides technical assistance, and broadens organizational support to reduce youth tobacco use (for the period indicated).

#### National Center for Tobacco-Free Kids

Washington, DC

\$50,000,000-(5 years). ID#35929

#### National Governors Association Center for Best Practices

Washington, DC

\$29,879—Conference for governors and their policy advisors on the national tobacco settlement (for 6 months). ID#37627

#### State of North Carolina Department of Health and Human Services

Raleigh, NC

\$1,993,698—Statewide youth-led program to prevent tobacco use by young people (for 3 years). ID#33461

#### University of North Carolina at Chapel Hill

Chapel Hill, NC

\$150,000—Support for the 2000 Tobacco Use Prevention Training Institute (for 1 year). ID#38372

#### **Oregon Pacific Research Institute**

Eugene, OR

\$48,383—Assessment of baseball players and trainers attitudes regarding spit tobacco cessation (for 13 months). ID#36384

#### **Overview Foundation Inc.**

Lederach, PA

\$25,620—Expanding affordable residential substance abuse treatment program (for 6 months). ID#37396

#### Partnership for a Drug-Free America, Inc.

New York, NY

\$15,000,000—Continuation of a media campaign to reduce demand for illegal drugs (for 3 years). ID#30248

#### The Pennsylvania State University

University Park, PA

\$350,000—National newspaper ad campaign to increase public awareness of binge drinking (for 5 months). ID#36929

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## Substance Abuse

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#### GRANTS

#### University of Pennsylvania, The Annenberg School for Communication

Philadelphia, PA

\$40,000—Study of teen risk perception of smoking (for 6 months). ID#37045

#### Public Relations Society of America Foundation Inc.

New York, NY

\$2,637,258—Communications-based initiative to increase discussion between parents and their children about drugs (for 2 years). ID#35422

#### **Reducing Underage Drinking Through Coalitions**

Program to reduce underage drinking problems using strategies that include youth leadership development, coalition enhancement, alcohol policy development, and public awareness campaigns (for the periods indicated).

#### **Oregon Partnership, Inc.**

Portland, OR

\$312,638-(1 year). ID#38435

#### **American Medical Association**

Chicago, IL

\$617,247—Technical assistance and direction for Reducing Underage Drinking Through Coalitions

(1 year). ID#36493

University of Minnesota School of Public Health

Minneapolis, MN

\$1,264,417—Evaluation of Reducing Underage Drinking Through CoalitionsPhase II (3 years). ID#31582

#### **Research Foundation of the City University of New York**

New York, NY

\$1,456,693—Implementation of a community reintegration model to reduce substance abuse among jail inmatesPhase III (for 32 months). ID#36950

#### **Research Network on the Etiology of Tobacco Dependence**

Program to bring together leading researchers from a variety of perspectives and disciplines to work collaboratively in the study

of the etiology of tobacco dependence in an effort to increase understanding of the development of tobacco dependence (for the periods indicated).

## Foundation for Pharmacology Richmond, VA \$23,971—Supplemental issue of Drug and Alcohol Dependence (1 year). ID#36559 University of Kentucky Research Foundation Lexington, KY \$1,356,257—(1 year). ID#35234 \$368,550—Junior faculty mentoring program associated with the Tobacco Etiology Research Network

(2 years). ID#36562

#### Security on Campus Inc.

King of Prussia, PA

\$25,477—Video and PSA on the dangers of binge drinking (for 1 year). ID#37026

#### Sikora Center Inc.

Camden, NJ

\$50,000—Drug treatment and after-care program for pregnant and parenting women and their infants (for 1 year). ID#37116

#### Smoke-Free Families: Innovations to Stop Smoking During and Beyond Pregnancy

A multi-component strategy to improve current clinical practice and advance the field into the next generation of smoking cessation techniques of childbearing women (for the periods indicated).

#### University of Alabama at Birmingham School of Medicine

Birmingham, AL

\$104,447—Supplement to the journal, Tobacco Control (1 year). ID#37567

#### University of California, Berkeley, School of Public Health

Berkeley, CA

\$32,706—Medicaid coverage of smoking cessation treatments (8 months). ID#22246

#### **Group Health Cooperative of Puget Sound**

Seattle, WA

\$21,365—Using biochemical feedback to change health behaviors (1 year). ID#37933

#### University of Alabama at Birmingham School of Medicine

Birmingham, AL

\$749,934—Technical assistance and direction for Smoke-Free Families (1 year). ID#36501

#### University of North Carolina at Chapel Hill

Chapel Hill, NC

\$62,650—Planning for a national dissemination office for the Smoke-Free Families program (4 months). ID#38199

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## Substance Abuse

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#### GRANTS

#### SmokeLess States<sup>®</sup>: Statewide Tobacco Prevention and Control Initiatives

Program that supports development and implementation of comprehensive statewide strategies to reduce tobacco use through education, treatment, and policy initiatives (for the periods indicated).

Alaska Native Health Board

Anchorage, AK

\$217,996—(1 year). ID#37597

American Cancer Society, Inc., Southeast Division, Inc.

Atlanta, GA

\$150,000—(1 year). ID#37929

#### American Cancer Society, Inc., Southwest Division, Inc.

Phoenix, AZ

\$470,067-(15 months). ID#36678

#### American Lung Association of Kansas

Topeka, KS

\$196,395—(1 year). ID#37928

#### **Cause Children Count Coalition**

Washington, DC

\$164,460-(17 months). ID#37879

#### The Coalition for a Tobacco-Free Colorado

Denver, CO

\$225,000-(1 year). ID#38035

#### Medical Society of New Jersey

Lawrenceville, NJ

\$202,410—(*1 year*). ID#37619

#### **Oregon Health Sciences University**

Portland, OR

\$313,638-(1 year). ID#37801

#### State of Vermont Department of Health

Burlington, VT

\$89,394—(1 year). ID#37596

# Washington DOC Seattle, WA \$194,927—(1 year). ID#37927 American Medical Association Chicago, IL. \$1,119,552—Technical assistance and direction for SmokeLess States (1 year). ID#35963 American Medical Association Foundation

Chicago, IL

\$500,000—Assisting states to develop plans for comprehensive tobacco control programs (25 months). ID#36581

#### The Social Workers National Research and Education Fund Inc.

Washington, DC

\$46,251—Addictions through the life cycle: A national conference for social workers (for 3 months). ID#35668

#### Society for Research on Nicotine and Tobacco Inc.

Ann Arbor, MI

\$199,656-Nicotine and Tobacco Research Journal (for 4 years). ID#33389

#### Society for Research on Nicotine and Tobacco Inc.

Middleton, WI

\$20,000—Developing youth tobacco cessation treatment outcome measures (for 3 months). ID#38056

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## Substance Abuse

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#### GRANTS

#### Substance Abuse Policy Research Program

Program to provide support for investigators to conduct policy research on a variety of projects directed at helping the country reduce the harm caused by substance abuse (for the periods indicated).

University of Baltimore

Baltimore, MD

\$94,759—(15 months). ID#37856

#### Behavioral Health Research Center of the Southwest

Albuquerque, NM

\$251,098-(2 years). ID#37371

#### **Boston University School of Social Work**

Boston, MA

\$99,700—(18 months). ID#36948

#### University of California, Los Angeles

Los Angeles, CA

\$349,980-(30 months). ID#37363

#### University of California, San Francisco

San Francisco, CA

\$348,854—(3 years). ID#37863

#### **Case Western Reserve University**

Cleveland, OH

\$339,195-(3 years). ID#37374

#### **Children s Hospital Corporation**

Boston, MA

\$344,465—(27 months). ID#37858

#### **Duke University Medical Center**

Durham, NC

\$99,169—(18 months). ID#37892

#### Foundation of the University of Medicine and Dentistry of New Jersey

Newark, NJ

\$103,403-(2 years). ID#36650

#### Friends Research Institute, Inc.

Baltimore, MD

\$348,022-(2 years). ID#37367

#### Health Research, Inc.

Buffalo, NY

\$349,378—(2 years). ID#37540

#### University of Illinois

Champaign, IL

\$343,080-(2 years). ID#38129

#### Joseph L. Mailman School of Public Health at Columbia University

New York, NY

\$37,092-(18 months). ID#35921

#### University of Massachusetts Medical School

Worcester, MA

\$78,250—(17 months). ID#37541

#### University of Miami

Miami, FL

\$348,304—(2 years). ID#37377

#### University of Michigan

Ann Arbor, MI

\$98,972—(18 months). ID#37862

#### University of Michigan School of Public Health

Ann Arbor, MI

\$6,809-(9 months). ID#36071

#### National Development and Research Institutes Inc.

New York, NY

\$97,989—(18 months). ID#37864

#### **New York University**

New York, NY

\$330,390—(27 months). ID#37370

#### University of North Carolina at Chapel Hill

Chapel Hill, NC

\$349,543-(3 years). ID#35153

#### Northwestern University

Evanston, IL

\$99,990-(18 months). ID#37157

#### The Pennsylvania State University

University Park, PA

\$344,779—(3 years). ID#37372

University of Pennsylvania

Philadelphia, PA

\$100,000-(1 year). ID#36317

**Public Health Institute** 

Berkeley, CA \$342,089—(2 years). ID#37375 **Research Foundation for Mental Hygiene, Inc.** Albany, NY \$348,808-(18 months). ID#37859 **Rutgers, The State University** New Brunswick, NJ \$99,908-(16 months). ID#37850 **Temple University Law School** Philadelphia, PA \$74,287—(11 months). ID#37162 **Tobacco Control Resource Center, Inc.** Boston, MA \$348,202-(3 years). ID#37373 \$99,798-(2 years). ID#37857 **Trauma Foundation Inc.** San Francisco, CA \$339,253-(2 years). ID#37362 Wake Forest University School of Medicine Winston-Salem, NC \$49,236-(20 months). ID#36649 **University of Washington** Seattle, WA \$99,954-(21 months). ID#37561 **Yale University** New Haven, CT \$344,646—(3 years). ID#37378 Wake Forest University School of Medicine Winston-Salem, NC \$730,595—Technical assistance and direction for the Substance Abuse Policy Research Program (1 year).

ID#36502

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The President's Message

## The American Paradox: Lack of health insurance in a land of plenty

If the safety net for uninsured patients is fraying at a time of unprecedented prosperity, what will happen when the economy goes into recession, tax revenues fall, unemployment rises, and the ranks of the uninsured climb beyond 50 million people?

## Uninsurance is usually a temporary condition; most people get their coverage back quickly.

The importance of length of uninsurance is obvious. Most people can make do during a brief transition time, being assured of needed care as soon as they get reinsured, or at worst, paying out of pocket for a short period.

But what are the facts? From 1993 through 1995, more than half of the spells of uninsurance were longer than five months. For someone with a chronic condition that requires regular monitoring, being without coverage for five months can interrupt care.

#### The numbers exaggerate how many people we should help cover.

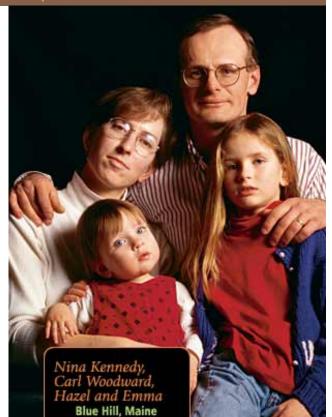
Those who make this argument point to the fact that of the 44 million people without insurance, an estimated 7 million are non-citizens who chose to come here and work and therefore should accept the consequences if they cannot acquire health insurance.

They also argue that a considerable number of uninsured people could afford to purchase health insurance but gamble that they won t need it. Some evidence supports this contention. Data from the US Census Bureau indicate that in 1998, about 9 million of the non-elderly uninsured were in families with incomes of \$50,000 a year or more.<sup>5</sup>

But this number does not present a clear picture of the ability of these people to buy health insurance. Not all families are families, at least as defined by insurers. For instance, the income of adult children living with their parents is counted towards family income. But these children are not eligible for coverage under their parents insurance plans. So the members of such a household would have to purchase insurance separately.

Further, health insurance premiums are not trivial expenditures. For a family in New Jersey in 1998, they amounted to almost \$6,000 per year. Even if the family s income is more than \$50,000 a year, the cost of purchasing health





For a long time, we were underinsured. We paid \$2,000 to \$3,000 a year for insurance, which is horrifically expensive for us. We kept raising our deductible so we could pay lower premiums. By last year, our deductible was up to \$5,000.

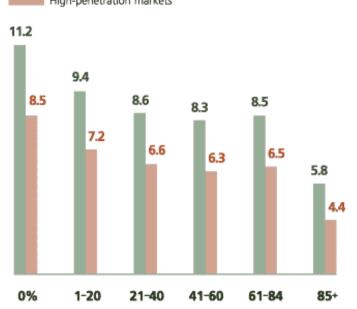
We were paying all this money for insurance but with the high deductible, we still had to pay for all our doctor visits and tests and everything else. Last summer, we realized we just couldn t afford the premiums anymore. We had other bills that had to be paid. So we dropped our insurance. And at first I was sort of glib about it. I thought,

We re young, we re healthy, we ll be fine.

But I have to say, once the insurance is gone, it feels different. There s always this awareness that if something big happens, it could really wipe us out insurance may appear formidable.

# FACTS

# Physicians' Provision of Charity Care Declines by Managed Care Penetration Hours of Charity Care per Month in:



Percent of Physician's Practice Revenue Derived from Managed Care

Source: Cunningham, P. et al. "Managed Care and Physicians Provision of Charity Care," The Journal of the American Medical Association, 281(12): 1087-92, 1999.

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# financially.

We re both college educated, and we re self employed. We work very hard, and we live really, really simply. We re managing, but there is just no room in our budget for insurance when it s so expensive.

It seems as if health insurance has become like a luxury in this country, and I don t understand how it got to be that way.

Nina Kennedy is a freelance graphic designer. She and her husband Carl, along with their two daughters, own and run a farm raising free-range poultry.

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# Substance Abuse

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# GRANTS

# **Trauma Foundation Inc.**

San Francisco, CA

\$529,225—Continuation of project to prevent alcohol-related injury and violence (for 18 months). ID#35122

# **Treatment Research Institute Inc.**

Philadelphia, PA

\$99,506—Research and evaluation of office-based, private practice addiction treatment for substance abusers (for 1 year). ID#36889

#### Uniformed Services University of the Health Sciences, F. Edward Hebert School of Medicine

Bethesda, MD

\$32,564—Developing new models of biobehavioral effects of nicotine on youth (for 18 months). ID#36413

#### Vera Institute of Justice Inc.

New York, NY

\$131,250—Expanding knowledge of substance abuse and drug dependent treatment for juvenile offenders (for 1 year). ID#36510

#### Washington Business Group on Health

Washington, DC

\$50,000—Forum to develop an employer leadership initiative to address substance abuse in the workplace and communities (for 6 months). ID#36790

#### **Woodland Community Development Corporation**

Camden, NJ

\$30,000-Camden youth mentoring and enrichment program (for 1 year). ID#38033

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# Substance Abuse

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# CONTRACTS

# Bridging the Gap: Research Informing Practice for Healthy Youth Behavior

*Program to improve the understanding of the role of policy and environmental factors in youth substance abuse (for the period indicated).* 

**Strategic Consulting Services** 

Portage, WI

\$52,700—Consulting for Bridging the Gap (6 months). ID#34404

# The CDM Group, Inc.

Chevy Chase, MD

\$609,883—Governors spouses initiative on underage drinking (for 1 year). ID#37117

#### Brian C. Castrucci

New York, NY

\$8,622—Management of the continuing analysis of the 1996 survey of tobacco use among adolescents (for 9 months). ID#37814

#### **Communications Project**

#### Multiple Contractors

\$720,416—Program activities for the 11th World Conference on Tobacco OR Health (for 1 year). ID#36507

# Fighting Back<sup>®</sup>: Community Initiatives to Reduce Demand for Illegal Drugs and Alcohol

Support of community-wide efforts to reduce alcohol and drug abuse through public awareness strategies, prevention, early identification, and treatment interventions (for the period indicated).

# Schulman, Ronca, & Bucuvalas, Inc.

New York, NY

\$850,841—General population survey of alcohol and drug consumption in Fighting Back and comparison sites (6 months). ID#33122

#### Patricia Patrizi

Wyncote, PA

\$339,597—Evaluation of the Community Anti-Drug Coalitions of America (for 1 year). ID#37750

# The Pennsylvania State University

University Park, PA

\$78,870—Consulting on prevention and early intervention (for 9 months). ID#37595

# **Research Network on the Etiology of Tobacco Dependence**

Program to bring together leading researchers from a variety of perspectives and disciplines to work collaboratively in the study of the etiology of tobacco dependence in an effort to increase understanding of the development of tobacco dependence (for the period indicated).

# **Treatment Research Institute Inc.**

Philadelphia, PA

\$62,925—Technical assistance and monitoring for Research Network on the Etiology of Tobacco Dependence and the Free to Grow program (1 year). ID#37074

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# Substance Abuse

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# CONTRACTS

# **Research Triangle Institute**

Atlanta, GA

\$275,000—Research based on 1996 survey of tobacco use among adolescents (for 18 months). ID#35817

\$550,668—Survey to examine behaviors of former and current smokers of low-tar cigarettes (for 8 months). ID#37536

#### Romano & Associates Inc.

Ellicott City, MD

\$50,000—Production of a television public service ad on spit tobacco with country music celebrity Garth Brooks (for 3 months). ID#36730

# Smoke-Free Families: Innovations to Stop Smoking During and Beyond Pregnancy

A multi-component strategy to improve current clinical practice and advance the field into the next generation of smoking cessation techniques of childbearing women (for the periods indicated).

# **Porter Novelli**

Washington, DC

\$60,000—Developing a marketing plan for the best practice anti-smoking counseling intervention (7 months). ID#36213

\$173,024—Developing a marketing plan for the best practice anti-smoking counseling intervention (6 months). ID#37571

# **Strategic Consulting Services**

Portage, WI

\$11,420—Consulting for Smoke-Free Families (6 months). ID#36485

# SmokeLess States<sup>®</sup>: Statewide Tobacco Prevention and Control Initiatives

Program that supports development and implementation of comprehensive statewide strategies to reduce tobacco use through education, treatment, and policy initiatives (for the period indicated).

# **Prospect Associates**

Silver Spring, MD

\$75,100—1999 national conference of state-level tobacco prevention professionals (4 months). ID#35956

# Substance Abuse Policy Research Program

Program to provide support for investigators to conduct policy research on a variety of projects directed at helping the country reduce the harm caused by substance abuse (for the period indicated).

# The Lewin Group

Falls Church, VA

\$104,750—Assessment of the Tobacco and Substance Abuse Policy Research Programs (5 months). ID#37001

#### Sutton Social Marketing, LLC

Washington, DC

\$49,830—Anti-tobacco media campaign conference (for 3 months). ID#37103

#### Judith R. Vicary, PhD

Port Matilda, PA

\$53,984—Senior Research Fellow on prevention and early intervention (for 20 months). ID#36068

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# Other Health Programs

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# Academy for Educational Development, Inc.

Washington, DC

\$20,000-Healthy People 2010 Conference (for 4 months). ID#38603

# After School: Connecting Children At Risk With Responsible Adults to Help Reduce Youth Substance Abuse and Other Health-Compromising Behaviors

Program to support a three-city demonstration project designed to connect at-risk urban youth with responsible adults in activities after school (for the periods indicated).

Youth Sports Connection San Francisco, CA \$4,999,583—(54 months). ID#34763 Nonprofit Facilities Fund New York, NY \$347,267—Technical assistance and direction for After School (1 year). ID#37460

# University of Alabama at Birmingham

Birmingham, AL

\$139,957—Identifying environmental and policy factors impacting physical activity among African-American women (for 2 years). ID#38325

#### All Kids Count: Establishing Immunization Monitoring and Follow-up Systems

Program to support the development and implementation of monitoring and follow-up systems to improve and sustain access to immunizations for preschool children (for the period indicated).

The Task Force for Child Survival and Development

Decatur, GA

\$52,400—Feasibility study to identify options for a new organization to represent immunization

registries (8 months). ID#38176

#### **Altru Health Foundation**

Grand Forks, ND

\$96,672—Study of sentinel health conditions associated with North Dakota flooding (for 1 year). ID#37385

#### American Association for World Health, Inc.

Washington, DC

\$50,000—National campaign on healthy aging (for 1 year). ID#36024

# American College of Sports Medicine Foundation, Incorporated

Indianapolis, IN

\$45,000—Publication on the use of physical activity to prevent and treat obesity and its co-morbidities (for 1 year). ID#36221

#### **American Medical Association**

Chicago, IL

\$200,000—Organized medicine summit on school and youth violence (for 6 months). ID#37225

#### The Aspen Institute, Inc.

Queenstown, MD

\$250,000—Roundtable on initiatives for children, families, and communities (for 2 years). ID#31276

#### The Bicycle Federation

Washington, DC

\$284,882—Clearinghouse and resource center on increasing physical activity (for 1 year). ID#37349

# Brandeis University, Florence Heller Graduate School for Advanced Studies in Social Welfare

Waltham, MA

\$50,000—New England Regional Public Health and Managed Care Collaboration (for 1 year). ID#36200

# **Case Western Reserve University School of Medicine**

Cleveland, OH

\$671,836—Evaluation of the Cleveland Eastern Suburban Born to Learn Program (for 4 years). ID#37506

#### Center for Advanced Study in the Behavioral Sciences Inc.

Stanford, CA

\$375,008—Interdisciplinary project on behavior change to combat substance abuse (for 3 years). ID#34248

#### Center for the Advancement of Health

Washington, DC

\$94,391—Exploratory meeting on the economic impact of health behavior change (for 6 months). ID#38128

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# Other Health Programs Grants and contracts authorized in the year ended December 31, 1999.

# GRANTS

# **Children s Futures**

Program to employ a comprehensive set of interventions designed to improve the health of children in a select New Jersey community (for the period indicated).

**Thomas A. Edison State College** Trenton, NJ \$382,207-(1 year). ID#38450

#### The Collaborative Center for Child Health and Development

Program to establish a new national resource center that will catalogue scientific information to improve childrens health and development, translate that information into usable forms, and make it available to parents, families, caregivers, agencies, and policymakers at all levels (for the period indicated).

The Task Force for Child Survival and Development Decatur, GA \$8,989,604-(2 years). ID#35334

#### The District of Columbia Developing Families Center Inc.

Washington, DC

\$833,433—Neighborhood Family Support Center in the District of Columbia (for 2 years). ID#36945

# The Early Childhood Initiative Foundation

Miami, FL

\$50,000—Conference to develop a plan to provide children with healthy education and to meet their nurturing needs (for 4 months). ID#36896

#### Earth Force Inc.

Alexandria, VA

\$25,000—Support for Youth Bike Summit (for 4 months). ID#36321

\$50,074National campaign to make America more bike friendly (for 1 year). ID#37946

# East Side House, Inc.

Bronx, NY

\$20,000—Community-based pregnancy prevention for high-risk minority adolescents (for 1 year). ID#38108

# **The Enterprise Foundation**

# Columbia, MD

\$7,500—Annual conference on community development (for 2 months). ID#37101

# Families and Work Institute, Inc.

New York, NY

\$595,000-Early Childhood Public Engagement Campaign: Phase II (for 18 months). ID#31746

# **Family Support Services Program**

Grant to Family Resource Coalition to undertake a national technical assistance initiative involving 10 states to enable them to establish statewide networks of community-based family resource and support service centers (for the periods indicated).

**Edmonds Community College** 

Lynnwood, WA

\$250,000–(4 years). ID#36055

#### State of Georgia Department of Human Resources, Georgia Children s Trust Fund Commission

Atlanta, GA

\$249,800-(4 years). ID#36053

# Governor s Partnership to Protect Connecticut s Workforce Inc. d/b/a DRUGS DON T WORK!

Hartford, CT

\$250,000–(4 years). ID#36670

#### State of Michigan Department of Community Health

Lansing, MI

\$250,000-(4 years). ID#36488

# State of Minnesota Department of Children, Families, and Learning

St. Paul, MN

\$250,000-(4 years). ID#36056

#### New York State Association of Family Service Agencies Inc.

Albany, NY

\$250,000—(4 years). ID#36054

# State of West Virginia Governor s Cabinet on Children and Families

Charleston, WV

\$250,000-(4 years). ID#36671

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# Other Health Programs Grants and contracts authorized in the year ended December 31, 1999.

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# Gateway Homes of Greater Richmond, Inc.

Richmond, VA

\$50,000—Providing physical activity programs to adults with chronic mental illness (for 1 year). ID#37952

# Georgetown University, Robert Emmett McDonough School of Business

Washington, DC

\$655,600—Center for the Advancement of Social Marketing (for 1 year). ID#34772

#### **Girls Incorporated**

Indianapolis, IN

\$48,356—Planning an evaluation of a program to prevent adolescent pregnancy (for 3 months). ID#35239

#### **Global Health Council, Inc.**

White River Junction, VT

\$75,000—Strengthening surveillance of emerging infectious diseases (for 6 months). ID#36236

# The Infectious Diseases Society of America

Alexandria, VA

\$998,432—Public education campaign on childhood vaccinations (for 1 year). ID#35252

\$379,265—Developing a training module on vaccine safety education for providers (for 3 months). ID#36607

# Iowa Hospital Education and Research Foundation

Des Moines, IA

\$465,362—Family support needs assessment and referral system for new parents and their infants (for 2 years). ID#33470

# The University of Kansas, Center for Research, Inc.

Lawrence, KS

\$50,000—Case study and report on community problem solving initiatives by the Greater Kansas City Community Foundation (for 1 year). ID#36181

#### **University of Minnesota Foundation**

#### Minneapolis, MN

\$50,000—Family Re-Union 8: Family and Community Conference (for 8 months). ID#36125

# National Association of State Boards of Education

Alexandria, VA

\$5,000—Study of schools roles in resolving health and social issues confronting youth (for 10 months). ID#36195

#### National Bureau of Economic Research, Inc.

Cambridge, MA

\$139,927—Analyzing risky behavior among youth (for 1 year). ID#36686

## **National Community Service Trust**

Durham, NC

\$54,183—Conference to explore philanthropic opportunities with professional sports teams (for 3 months). ID#37486

#### **National Health Foundation**

Los Angeles, CA

\$21,509—Consumer and public health representation at a national meeting on healthy communities (for 1 month). ID#37723

#### New School University

New York, NY

\$50,000—Conference on the historical and cultural aspects of substance abuse (for 18 months). ID#37477

#### New York Academy of Sciences

New York, NY

\$40,000—Conference on socioeconomic determinants and health (for 6 months). ID#35885

# Northwestern University School of Education and Social Policy

Evanston, IL

\$1,813,750—Children, families, and welfare reform: a multi-city study (for 38 months). ID#37218

#### **University of Notre Dame**

Notre Dame, IN

\$50,000-Research on parenting influences on child development (for 1 year). ID#36862

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# Other Health Programs

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# GRANTS

# **Nurse Home Visiting Program**

Program to replicate a model nurse home-visiting program targeted on first-time adolescent mothers living in poverty (for the period indicated).

# **Children s Hospital Association**

Denver, CO

\$10,000,000-(3 years). ID#35369

#### **Ounce of Prevention Fund**

Chicago, IL

\$50,000—Planning project for a statewide infant child care system for ages 0 to 3 years old (for 10 months). ID#35034

#### Pedestrians Educating Drivers on Safety, Inc.

Atlanta, GA

\$205,000—Encouraging safe active modes of transportation for children and youth (for 3 years). ID#37205

#### **Public Health Foundation Enterprises, Inc.**

City of Industry, CA

\$250,000—Providing wellness care at work sites in central Los Angeles (for 3 years). ID#37435

#### **RAND** Corporation

Santa Monica, CA

\$492,897—Research activities to assist the CDCs program, Racial and Ethnic Approaches to Community Health (REACH) (for 1 year). ID#37009

#### **Renaissance Community Development Corporation**

Somerset, NJ

\$400,000—Neighborhood Family Support Services Program (for 1 year). ID#37503

# **Rhode Island Public Health Foundation Inc.**

Providence, RI

\$618,828—Pilot program to encourage physical activity in Rhode Island communities (for 3 years). ID#36432

# **City of Richmond Department of Public Health**

Richmond, VA

\$40,000—Second annual Frontrunners conference (for 6 months). ID#37948

# University of Rochester School of Medicine and Dentistry

Rochester, NY

\$50,000—New century child health congress (for 1 year). ID#36253

# City of San Antonio, San Antonio Metropolitan Health District

San Antonio, TX

\$348,000—Postmortem meeting and planning study on natural disasters in Texas (for 1 year). ID#36183

# **State Health Leadership Initiative**

Program to accelerate the development of the leadership capacity of state health officers as policymakers, administrators, and advocates for the health of the public (for the periods indicated).

# National Governors Association Center for Best Practices

Washington, DC

\$927,996—(1 year). ID#35684

# National Governors Association Center for Best Practices

Washington, DC

\$260,790—Technical assistance and direction for the State Health Leadership Initiative (1 year). ID#35682

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# **Turning Point: Collaborating for a New Century in Public Health**

Program, in collaboration with the W.K. Kellogg Foundation, to help states improve the performance of their public health functions through a state level strategic assessment of public healths mission, its relationship to the private sector, organizational structure and governance, work force capacity, accountability, and sources of revenue (for the periods indicated).

# State of Colorado Department of Public Health and Environment

Denver, CO

\$267,858-(25 months). ID#36866

# **Medical Care Development Incorporated**

Augusta, ME

\$279,231—(2 years). ID#36852

#### State of Minnesota Department of Health

Minneapolis, MN

\$269,819-(2 years). ID#36855

#### State of Missouri Department of Health

Jefferson City, MO

\$273,000-(2 years). ID#36854

#### **University of South Carolina**

Columbia, SC

\$270,306—(2 years). ID#36865

# State of West Virginia Department of Health and Human Resources

Charleston, WV

\$166,932—(1 year). ID#36851

#### State of Wisconsin Department of Health and Family Services

Madison, WI

\$273,000-(2 years). ID#36853

# University of Washington School of Public Health and Community Medicine

Seattle, WA

\$998,536—*Technical assistance and direction for Turning Point (14 months).* ID#34031 \$700,000—*Grantee technical assistance fund (13 months).* ID#36428

# Urban Health Initiative: Working to Ensure the Health and Safety of Children

Program to work closely with five cities for a period of up to ten years in an effort to improve the health and safety of their young people (for the periods indicated).

# University of Washington Graduate School of Public Affairs

Seattle, WA

\$1,758,876—Technical assistance and direction for the Urban Health Initiative (1 year). ID#34029

# New York University, Robert F. Wagner Graduate School of Public Service

New York, NY

\$198,172-Evaluation of the Urban Health Initiative supplemental support (7 months). ID#35408

# University of Utah Department of Psychology

Salt Lake City, UT

\$109,408—Study of young, economically disadvantaged parents and their children (for 1 year). ID#36768

# Young Women s Christian Association of Greater Los Angeles, California

Los Angeles, CA

\$25,200—Programs to address womens and childrens health issues (for 8 months). ID#36989

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After School: Connecting Children At Risk With Responsible Adults to Help Reduce Youth Substance Abuse and Other **Health-Compromising Behaviors** 

Program to support a three-city demonstration project designed to connect at-risk urban youth with responsible adults in activities after school (for the periods indicated).

# **Carol Glazer**

New York, NY

\$137,500-Technical assistance activities for After School (1 year). ID#38204

# Julie A. Sandorf

New York, NY

\$150,000—Technical assistance activities for After School (1 year). ID#38200

#### **Children s Futures**

Program to employ a comprehensive set of interventions designed to improve the health of children in a select New Jersey community (for the periods indicated).

# **Rush L. Russell**

Pennington, NJ \$30,410-Consulting services for Childrens Futures (2 months). ID#37851 \$14,942—Consulting services for Childrens Futures (1 month). ID#38352

# **Grantmakers in Health**

Washington, DC

\$25,500—Grantmaker roundtable discussion on childhood immunization (for 3 months). ID#37693

# **Mediawrights**

# Cambridge, MA

\$199,000—Production of material (video, web, marketing) to communicate success of Boston Strategy to reduce youth homicide (for 10 months). ID#34914

#### Priscilla J. Murphy

Hockessin, DE

\$9,627—Focus group sessions on the physical activity practices, knowledge, and attitudes of the underserved (for 2 months). ID#38140

# National Association of Governors Councils on Physical Fitness and Sports, Incorporated

Indianapolis, IN

\$36,457—Survey to determine community capacity and need for promoting physical activity (for 3 months). ID#37950

#### Mary Brigid Sanner

Dallas, TX

\$76,282—Strategic communications consultancy for the Foundations Health & Behavior Program Management Team (for 6 months). ID#37568

# **Turning Point: Collaborating for a New Century in Public Health**

Program, in collaboration with the Kellogg Foundation, to help states improve the performance of their public health functions through a state level strategic assessment of public healths mission, its relationship to the private sector, organizational structure and governance, work force capacity, accountability, and sources of revenue (for the period indicated).

#### The Lewin Group

Falls Church, VA

\$425,644—Expansion of the evaluation of Turning Point (2 years). ID#37153

# **Cheryl Ulmer**

McLean, VA

\$4,500—Preparing manuscripts from the RWJF health and behavior paper (for 6 months). ID#37588

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# Other Health Care Programs

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# The Albert Schweitzer Fellowship, Inc.

Boston, MA

\$50,000-Volunteer service program in US communities for health professions students (for 1 year). ID#35511

# **American Foundation for AIDS Research**

New York, NY

\$25,000-Eleventh Annual National HIV/AIDS Update Conference (for 6 months). ID#36212

#### State of Arizona Department of Health Services

Phoenix, AZ

\$25,000—Conference on genetics for health professionals and the public (for 11 months). ID#35763

#### Arizona State University

Tempe, AZ

\$49,940—Analysis of physician assistant career patterns (for 1 year). ID#37027

#### Association of Academic Health Centers, Inc.

Washington, DC

\$28,122—The Sixth Congress of Health Professions Educators (for 16 months). ID#36158

# **Association of American Medical Colleges**

Washington, DC

\$200,000—Herbert W. Nickens Memorial Fund (for 1 month). ID#37676

# **Association of Health Care Journalists**

Minneapolis, MN

\$50,000—Support for the Association of Health Care Journalists (for 1 year). ID#36429

# University of California, Los Angeles, School of Public Policy and Social Research

Los Angeles, CA

\$13,840—Promotion and dissemination of a Journal of Health Politics, Policy and Law special issue on the managed care

backlash (for 10 months). ID#37712

# University of California, San Francisco, Center for the Health Professions

San Francisco, CA

\$90,500—National advisory group on financing nursing education (for 10 months). ID#34400

# Center for Living Democracy, Inc.

Brattleboro, VT

\$400,010-Expansion of a news service on civic engagement (for 2 years). ID#37387

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The President's Message

# The American Paradox: Lack of health insurance in a land of plenty

If we disaggregate the numbers, then only 37 million Americans (excluding non-citizens), or as few as 28 million poor Americans (excluding non-citizens and those from families with incomes over \$50,000 annually) really need help obtaining health insurance. Thus, the problem isn t that big and isn t that urgent, some commentators would say.

To me, having 28 million deserving Americans who don t have health insurance is too many. That s more than the combined populations of Arizona, Indiana, Louisiana, South Carolina, and Washington. Does not their plight merit our attention?

#### The uninsured are less deserving.

This argument was not addressed in my earlier paper<sup>6</sup>, but in the last few years I have recognized it as a subtext in many discussions. The attitude seems to result from the fundamental American ambivalence about the poor. In this land of opportunity, so the presumption goes, everyone can pull himself up by his bootstraps. Thus, people who really want health insurance could get it if they would only try a little harder.

Most people to whom I talk, including many sophisticated journalists, simply don t know who lacks health insurance. The 44 million uninsured people are all around us, yet their plight is invisible. About half are non-Hispanic whites, the rest are members of minority groups, including African Americans, Native Americans, Hispanics, and Asian and Pacific Islanders. More than 70 percent live in families with at least one full-time worker.<sup>7</sup> They are the nannies who care for our children, the people who mow our lawns, taxi drivers, workers in fast-food restaurants, bookstores, discount stores and gas stations, and the low-paid employees in small firms. They are distant and different from mainstream opinion leaders and, I fear, marginalized in our political process. Yet these workers are very important to our economy.

About three-quarters of uninsured workers earn less than \$20,000 a year. When incomes are that low and an employer does not offer coverage or requires a significant co-payment, the choice for many low-income workers can be between buying health insurance and buying food. This is no real



Larkspur, California

It s clear the number of people without health insurance in our area is growing, and we just don t have the ability to see them all when they need to be seen. We often have to turn people away. If we increased our number of appointments by another 20 to 30 percent we could fill them immediately. But our limiting factors are space, staff, and the money to pay for both of those. We scrimp and scrape on things like chairs and tables and computers. We ve got carpet held together with duct tape. We put our money into providing as many appointments as we can.

The bulk of our patients are working people in jobs where they are not offered insurance or, if they are, they can t get it because they can t afford to pay the premiums. So what we have are regular working people who, as far as choice. Further, earning low wages should not mean someone is less deserving of health care coverage.

## FACTS

# Distribution of the Non-Elderly Uninsured by Family Income, 1998

# Percent of Non-elderly Uninsured

| \$100,000 and up   | 4.7  |
|--------------------|------|
| \$75,000-99,999    | 4.7  |
| \$50,000-74,999    | 11.6 |
| \$40,000-49,999    | 8.3  |
| \$35,000-39,999    | 5.0  |
| \$30,000-34,999    | 7.0  |
| \$25,000-29,999    | 8.1  |
| \$20,000-24,999    | 9.3  |
| \$15,000-19,999    | 9.9  |
| \$10,000-14,999    | 11.1 |
| Less than \$10,000 | 20.2 |

Source: Swartz, K. Briefing Paper: Americans Without Health Insurance Coverage in 1999, unpublished.

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health insurance and health care access

goes, are on the fringes.

Unfortunately, we can t afford to serve more people. The reality is, even in good times, we re struggling. And I don t know where our patients would go if we weren t here.

Nance Rosencranz is executive director of the Marin Community Clinic in Larkspur, California, a grantee under the Local Initiative Funding Partners Program.

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# Other Health Care Programs

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# GRANTS

# **Colleagues in Caring: Regional Collaboratives for Nursing Work Force Development**

Program to support regional efforts to design a continuum of nursing education that will prepare entrants into the profession to work in the full spectrum of patient care settings and to serve in a variety of clinical and administrative roles (for the periods indicated).

# **AZHHA Education Foundation** Tempe, AZ \$149,996—(3 years). ID#36960 University of California, Irvine, College of Medicine Irvine, CA \$150,000-(3 years). ID#36961 University of Colorado Health Sciences Center Denver, CO \$150,000-(3 years). ID#36963 **Connecticut League for Nursing Inc.** Wallingford, CT \$150,000-(3 years). ID#36964 Georgetown University School of Nursing Washington, DC \$150,000-(3 years). ID#36965 Idaho Commission for Nursing and Nursing Education Inc. Boise, ID \$150,000-(3 years). ID#36967 MHA Health, Research and Educational Foundation, Inc. Jackson, MS \$150,000-(3 years). ID#36971 **Maryland Nurses Foundation Inc.** Linthicum, MD \$150,000-(3 years). ID#36968 **Minnesota State Colleges and Universities** St. Paul. MN

\$150,000-(3 years). ID#36970 University of Missouri-Columbia, Charles and Josie Smith Sinclair School of Nursing Columbia, MO \$150,000-(3 years). ID#36973 University of Missouri-Kansas City School of Nursing Kansas City, MO \$150,000—(3 years). ID#36972 **Montana State University Foundation** Bozeman, MT \$149,979-(3 years). ID#36974 State of North Carolina, for the North Carolina Center for Nursing Raleigh, NC \$150,000-(3 years). ID#36981 Rutgers, The State University, College of Nursing Piscataway, NJ \$150,000-(3 years). ID#36975 University of South Carolina College of Nursing Columbia, SC \$150,000-(3 years). ID#36976 South Dakota Board of Nursing Sioux Falls, SD \$150,000-(3 years). ID#36977 **Texas A&M Research Foundation** Corpus Christi, TX \$150,000–(3 years). ID#36978 **University Health Group Inc.** Honolulu, HI \$150,000-(3 years). ID#36966 West Virginia University Foundation Inc. Morgantown, WV \$149,269-(3 years). ID#36979 American Association of Colleges of Nursing Washington, DC \$503,160—Technical assistance and direction for Colleagues in Caring (1 year). ID#36578

\$42,673—Technical assistance and direction for Colleagues in Caringsupplemental support (3 months). ID#34944

# **AZHHA Education Foundation**

Tempe, AZ

\$15,000—Establishment of an integrated data system for assessing nursing care work force needs (1 year). ID#35308

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## **Dartmouth Medical School**

Hanover, NH

\$4,769,869—Monitoring the performance of local and regional health care systems (for 5 years). ID#36962

# Foundation for Health Care Quality

Seattle, WA

\$443,208—Multistate Initiative to Help Build a Health Information Infrastructure (for 6 months). ID#36045

#### Foundation for the National Institutes of Health, Inc.

Bethesda, MD

\$749,093—National coalition to educate health professionals in genetics (for 3 years). ID#37749

#### **Generalist Physician Faculty Scholars Program**

Program to support the career development of outstanding young faculty in academic departments/divisions of family practice, general internal medicine, and general pediatrics (for the periods indicated).

**Boston University School of Medicine** 

Boston, MA \$240,000—(4 years). ID#36906 University of California, Los Angeles, School of Medicine Los Angeles, CA \$239,700—(4 years). ID#36833 Columbia University College of Physicians and Surgeons New York, NY \$239,800—(4 years). ID#36830 The University of Iowa College of Medicine Iowa City, IA \$239,998—(4 years). ID#36813 University of Michigan Ann Arbor, MI

\$240,000-(4 years). ID#36801

#### University of Minnesota

Minneapolis, MN

\$237,840-(4 years). ID#36831

# University of New Mexico Health Sciences Center

Albuquerque, NM

\$142,004—(2 years). ID#38021

# University of North Carolina at Chapel Hill School of Medicine

Chapel Hill, NC

\$240,000-(4 years). ID#36810

# Northwestern University Medical School

Chicago, IL

\$238,830-(4 years). ID#36800

#### University of Pennsylvania School of Medicine

Philadelphia, PA

\$239,834—(4 years). ID#36803

#### University of Pittsburgh School of Medicine

Pittsburgh, PA

\$222,766-(39 months). ID#36505

# **Stanford University School of Medicine**

Palo Alto, CA

\$240,000-(4 years). ID#36799

# The University of Texas Health Science Center at Houston Medical School

Houston, TX

\$240,000-(4 years). ID#36797

# The University of Texas Southwestern Medical Center at Dallas

Dallas, TX

\$232,010-(4 years). ID#36832

#### Vanderbilt University Medical Center

Nashville, TN

\$239,295-(4 years). ID#36816

# Virginia Commonwealth University

Richmond, VA

\$240,000-(4 years). ID#36798

#### **University of Washington**

Seattle, WA

\$239,602-(4 years). ID#36826

# University of Massachusetts Medical School

Worcester, MA

\$299,854—Technical assistance and direction for the Generalist Physician Faculty Scholars Program (1 year). ID#36130

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# **Generalist Physician Initiative**

Program to challenge schools of medicinein collaboration with state governments, private insurers, HMOs, hospitals, and community health centersto increase the supply of generalist physicians (for the period indicated).

University of Missouri-Columbia School of Medicine

Columbia, MO

\$305,983—Technical assistance and direction for the Generalist Physician Initiative (1 year). ID#35954

#### **Georgetown University Medical Center**

Washington, DC

\$20,000—Supplement to the Health Privacy Project (for 3 months). ID#37287

#### Harvard University School of Public Health

Boston, MA

\$19,593—Nurse Executive Leadership Conference (for 1 year). ID#36834

#### **Health Professions Partnership Initiative**

Program, co-sponsored with the W.K. Kellogg Foundation, helping academic health centers develop education partnerships to increase the number of underrepresented minorities in the clinical health professions and in public health (for the period indicated).

# Association of American Medical Colleges

Washington, DC

\$540,541—Technical assistance and direction for the Health Professions Partnership Initiative (1 year). ID#34227

# Health Tracking

Program to monitor over the next five years the changes within the health care system and how these changes affect people (for the periods indicated).

University of California, Berkeley, School of Public Health Berkeley, CA \$72,834—(15 months). ID#36275

University of California, Los Angeles, Center for Health Sciences

Los Angeles, CA \$4,999,154—(29 months). ID#38273

# US Public Health Service, Agency for Health Care Policy and Research

Rockville, MD \$215,000—(17 months). ID#37755

# The Johns Hopkins University, Johns Hopkins Bayview Medical Center

Baltimore, MD

\$24,444—Implementing and evaluating a population/community-oriented primary care curriculum for internal medicine residents (for 1 year). ID#37418

# Libraries for the Future

New York, NY

\$49,960—Coalition-based effort to increase the publics access to health information (for 1 year). ID#36845

# University of Michigan Institute for Social Research

Ann Arbor, MI

\$475,435—Genetic technology and health: knowledge, attitudes, values, and behavior (for 2 years). ID#35311

# **Minority Medical Education Program**

A summer enhancement program designed to help minority students compete for medical school acceptance (for the periods indicated).

# University of Alabama at Birmingham School of Medicine

Birmingham, AL \$1,500,000—(5 years). ID#37960 **United Negro College Fund, Inc.** Fairfax, VA \$1,500,000—(5 years). ID#38142

# Yale University

New Haven, CT

\$1,498,346—(5 years). ID#37962

# Association of American Medical Colleges

Washington, DC

\$695,295—Technical assistance and direction for the Minority Medical Education Program (1 year). ID#35416

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#### **Minority Medical Faculty Development Program**

A fellowship program for minority physicians interested in academic careers in biomedical research, clinical investigation, and health services research and who will encourage and foster the development of succeeding classes of minority physicians (for the periods indicated).

#### University of Alabama at Birmingham

Birmingham, AL

\$365,400-(4 years). ID#38389

# **Baylor College of Medicine**

Houston, TX

\$365,187—(4 years). ID#38406

\$7,760—(1 year). ID#38383

# University of California, Los Angeles, School of Medicine

Los Angeles, CA

\$18,524-(2 years). ID#38402

\$7,760—(1 year). ID#38399

\$315,506-(4 years). ID#37214

# University of California, San Diego, School of Medicine

La Jolla, CA

\$7,760—(*1 year*). ID#38385

#### University of California, San Francisco

San Francisco, CA

\$315,506—(4 years). ID#36293

\$7,760—(1 year). ID#38387

# **Columbia University**

New York, NY

\$18,500—(2 years). ID#38390

# **Columbia University College of Physicians & Surgeons**

New York, NY

\$279,310-(43 months). ID#35941

# Cornell University, Joan and Sanford I. Weill Medical College

New York, NY

\$18,524-(2 years). ID#38401

#### **Duke University Medical Center**

Durham, NC

\$315,506-(4 years). ID#37211

# Emory University

Atlanta, GA

\$32,149—(3 years). ID#38388

# The General Hospital Corporation-Massachusetts General Hospital

Boston, MA

\$315,351-(4 years). ID#37297

\$365,400-(4 years). ID#38417

\$7,760—(*1 year*). ID#38395

# Harvard Medical School

Boston, MA

\$315,506—(4 years). ID#36626

#### The Johns Hopkins University

Baltimore, MD

\$315,506-(4 years). ID#37213

# The Johns Hopkins University School of Medicine

Baltimore, MD

\$32,149—(3 years). ID#38391

\$204,760-(26 months). ID#37295

\$18,524—(2 years). ID#38396

# Loyola University Medical Center

Maywood, IL

\$18,524-(2 years). ID#38394

# The Medical University of South Carolina

Charleston, SC

\$32,149—(3 years). ID#38384

# The University of Texas Southwestern Medical Center at Dallas

Dallas, TX

\$7,760-(1 year). ID#38392

\$365,400-(4 years). ID#38405

#### Washington University School of Medicine

St. Louis, MO

\$18,524—(2 years). ID#38393

#### George Washington University Medical Center

Washington, DC

\$922,105—Technical assistance and direction for the Minority Medical Faculty Development

Program (2 years). ID#37361

#### National Academy of Sciences Institute of Medicine

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# Washington, DC

\$235,983—Research to identify and examine the characteristics of micro systems in the health care industry (for 1 year). ID#36111

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# National Association of Children s Hospitals and Related Institutions, Inc.

Alexandria, VA

\$15,136—Conference for child health services researchers (for 3 months). ID#36969

# The New York Academy of Medicine

New York, NY

\$192,062—Dissemination of a chartbook on urban/suburban social and health indicators (for 1 year). ID#36934

# New York University, Robert F. Wagner Graduate School of Public Service

New York, NY

\$239,998—Developing a dispute resolution quality assessment protocol (for 18 months). ID#37469

#### **Partnerships for Quality Education**

Continuation and expansion of a program, begun by Pew Charitable Trusts, to create partnerships between medical and nurse training programs and managed care settings to develop new ways to teach the skills and competencies of primary care (for the periods indicated).

# Harvard Pilgrim Health Care, Inc.

Boston, MA

\$8,997,345-(51 months). ID#36994

Harvard Pilgrim Health Care, Inc.

Boston, MA

\$743,600—Technical assistance and direction for Partnerships for Quality EducationPhase II (1 year). ID#36028

#### **New York University**

New York, NY

\$735,597—Evaluation of Partnerships for Quality EducationPhase II (5 years). ID#36808

# Planned Parenthood Association of Mercer Area, Inc.

Trenton, NJ

\$48,321—Nurse practitioner training for clinic staff (for 1 year). ID#37938

#### **State Forums Partnership Program**

Program to establish a technical assistance center to support the replication of the New Jersey Policy Forums on Health and Medical Care project in up to five states (for the period indicated).

**Texas Institute for Health Policy Research** Austin, TX \$126,000—(*3 years*). ID#37601

# **United Hospital Fund of New York**

New York, NY

\$2,500,000—Establishment of the National Forum for Health Care Quality Measurement and Reporting (for 3 years). ID#36668

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# CONTRACTS

# **Generalist Physician Faculty Scholars Program**

Program to support the career development of outstanding young faculty in academic departments/divisions of family practice, general internal medicine, and general pediatrics (for the periods indicated).

# Joanne Delaney

Shrewsbury, MA

\$36,308—Administrative coordination of the Generalist Physician Faculty Scholars Program (1 year). ID#38031

#### **Carolyn E. Miller**

Princeton, NJ

\$6,500—Survey of Scholars under the Generalist Physician Faculty Scholars Program (2 months). ID#37459

#### Gradison and Associates

McLean, VA

\$25,207—Environmental assessment of health coverage issues (for 5 months). ID#37132

#### **Health Tracking**

Program to monitor over the next five years the changes within the health care system and how these changes affect people (for

the period indicated).

# National Center for Health Statistics

Hyattsville, MD

\$61,950-(25 months). ID#36279

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# General Philanthropy

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# GRANTS

#### Accreditation Council for Graduate Medical Education

Chicago, IL

\$745,489—Improving the quality of medical education through national accreditation (for 5 years). ID#34768

#### **American Lung Association**

Washington, DC

\$45,000—Conference on engaging health professionals in issues related to environmental health and global climate change (for 3 months). ID#38076

#### **American Medical Student Association Foundation**

Reston, VA

\$7,957—Evaluation of National Primary Care Week for medical students (for 5 months). ID#37404

#### **American National Red Cross**

Washington, DC

\$1,000,000-Emergency assistance for victims of Hurricane Floyd (for 1 month). ID#38103

# American National Red Cross, Central New Jersey Chapter

Princeton, NJ

\$100,000—Establishing a community program in disaster prevention and safety (for 1 year). ID#37652

#### The Arnold Gold Foundation

Englewood, NJ

\$50,000—Developing a humanism in medicine honor (for 1 year). ID#36427

### Association for Health Services Research, Inc.

Washington, DC

\$99,088—Development of a strategy to strengthen the field of health care research (for 9 months). ID#34534

#### **Cenacle Retreat House**

Highland Park, NJ

\$71,960—Facility repairs and maintenance (for 1 year). ID#34943

# **Cerebral Palsy Association of Middlesex County**

Edison, NJ

\$150,000—Childrens Center construction project (for 1 year). ID#36087

# The Communications Network Inc.

Washington, DC

\$50,000—Support for The Communications Network (for 1 year). ID#36256

#### Council of New Jersey Grantmakers Inc.

Trenton, NJ

\$50,000-Building capacity for New Jersey philanthropy (for 2 years). ID#38017

#### **Council on Foundations, Inc.**

Washington, DC

\$500,000—Project to improve the Councils information system (for 1 year). ID#37786

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# General Philanthropy

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# GRANTS

# **Dartmouth College**

Hanover, NH

\$50,000—Promotional activities for Civil Society: Historical and Contemporary Perspectives (for 1 year). ID#36873

# East Brunswick Rescue Squad

East Brunswick, NJ

\$100,000—Replacement of facility and equipment (for 8 months). ID#38097

# The Easter Seal Society of New Jersey, Inc., Raritan Valley Workshop

New Brunswick, NJ \$75,000—*Facility repair project (for 1 year)*. ID#38066

#### Foundation Center

New York, NY \$250,000—Electronic data access program (for 3 years). ID#34032

# Foundation of the University of Medicine and Dentistry of New Jersey

Newark, NJ

\$502,510—Building the research and educational capacity of the School of Public Health (for 2 years). ID#35288
\$10,000—UMDNJ-wide symposium on the neurobiology of behavior (for 2 months). ID#36568
\$6,000,000—Expanding service capacities and staff of the Cancer Institute of New Jersey (for 5 years). ID#37156

#### George Washington University Medical Center

Washington, DC

\$74,884—Forums to promote synergy among local grantees (for 14 months). ID#36615

# Grantmakers for Children, Youth & Families Inc.

Washington, DC

\$10,000—Support for the 1999 program year (for 8 months). ID#35202

#### HomeFront, Inc.

Lawrenceville, NJ \$49,000—Support services for Mercer county homeless families (for 1 year). ID#35548 \$12,000—Support services for Mercer county homeless families (for 4 months). ID#37780 \$35,000—Program to assist homeless persons (for 1 year). ID#38538

#### **Independent Sector**

#### Washington, DC

\$600,000—Support for the ongoing activities of the Independent Sector (for 3 years). ID#36809

# March of Dimes Birth Defects Foundation, Central Jersey Chapter

Cranbury, NJ

\$18,255—Community-based prenatal education project (for 1 year). ID#36132

# Middlesex County Recreation Council (John E. Toolan Kiddie Keep Well Camp)

Edison, NJ

\$299,444—Camping program for health-impaired children (for 1 year). ID#34985

#### **Montefiore Medical Center**

Bronx, NY

\$48,571—Study to evaluate the economic impact of breast-feeding interventions (for 1 year). ID#37046

#### National Center for Nonprofit Boards

Washington, DC

\$300,000—Strengthening the governance of voluntary health organizations (for 3 years). ID#36329

#### The National Health Museum, Inc.

Washington, DC

\$400,000—Creation of the National Health Museum (for 1 year). ID#36953

# **New Brunswick Development Corporation**

New Brunswick, NJ \$250,000—Revitalization program for the City of New Brunswick, New Jersey (for 1 year). ID#34941

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# General Philanthropy

Grants and contracts authorized in the year ended December 31, 1999.

# GRANTS

### New Brunswick Tomorrow

New Brunswick, NJ

\$350,000—Citywide program to strengthen human services and resources (for 1 year). ID#34030

# The New York Academy of Medicine

New York, NY

\$50,000—Assessment of the first decade of the Agency for Health Care and Policy Research (for 6 months). ID#37565

#### **Occupational Training Center of Morris County, Inc.**

Cedar Knolls, NJ

\$25,000-Employment placement program for people with disabilities (for 1 year). ID#36766

# Peter Westbrook Foundation Inc.

New York, NY

\$50,000—Enhancing the health of disadvantaged urban youth through a program of fencing, tutoring, and mentoring (for 6 months). ID#35986

#### The Philanthropic Initiative Inc.

Boston, MA

\$15,000—Research on the needs of new and emerging philanthropic donors (for 8 months). ID#37603

#### Plainsboro Rescue Squad, Inc.

Plainsboro, NJ

\$65,000—Purchase of a first responder emergency services mobile unit (for 6 months). ID#36347

# Project on Technology, Work and Character

Washington, DC

\$49,022—Research on the leadership and culture of exemplary health care organizations (for 1 year). ID#38157

#### **Rescue Mission of Trenton**

Trenton, NJ

\$15,000-Central facility renovation project (for 1 year). ID#36563

#### **Rockefeller University**

New York, NY

\$30,000-Meeting of clinical research societies on patient-oriented research issues (for 1 year). ID#37638

# Rutgers, The State University, Cook College

New Brunswick, NJ

\$20,000-Farm skills training program for high-risk youth (for 1 year). ID#36418

# **Rutgers University Foundation**

New Brunswick, NJ

\$50,000—Support for publishing the encyclopedia of New Jersey (for 2 years). ID#35571

#### St. Vincent de Paul Societies

Metuchen, NJ

\$131,841—Annual support for program of assistance to the indigent (for 1 year). ID#36496

#### **The Salvation Army**

New Brunswick, NJ

\$228,700—Assistance to needy and indigent families (for 1 year). ID#35960

#### **Tides Center**

San Francisco, CA

\$299,799—Support for non-profit health organizations to prepare for Y2K (for 1 year). ID#36252

# United Way of Greater Mercer County, Inc.

Lawrenceville, NJ

\$150,000-Support for 1998-1999 Campaign (for 1 year). ID#34035

# The Wholistic Health and Healing Association

Roseville, CA

\$49,989—National forum on reducing the prevalence of uterine fibroids among African-American women (for 4 months). ID#38660

#### Women Aware

New Brunswick, NJ

\$9,600—On-site nursing service in a battered womens shelter (for 1 year). ID#35413

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# General Philanthropy Grants and contracts authorized in the year ended December 31, 1999.

# CONTRACTS

# **Benton Foundation**

Washington, DC

\$110,094—Publication of communications guides (for 1 year). ID#36661

# Center for Biomedical Communications, Inc.

Hackensack, NJ

\$49,998—Conference on connecting ethics and health policy (for 8 months). ID#35870

#### **Gardner Associates**

Cherry Hill, NJ \$130,000-RWJF Oral History Project and Archives (for 2 years). ID#31994

#### Patricia Patrizi

Wyncote, PA \$50,000—Meeting to explore the role and benefits of foundation evaluation (for 6 months). ID#37391

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The President's Message

# The American Paradox: Lack of health insurance in a land of plenty

# Government can t handle it

Confidence in government (which has never been strong) has been in steady decline during the past three decades, to the extent that today only 31 percent of the general public agrees with the statement that government can be trusted to do the right thing.<sup>8</sup> Politicians of both parties, aware of these sentiments, regularly campaign on anti-government platforms.

Yet government plays a critical role in every country that has achieved universal health insurance coverage. This ranges from providing insurance and directly paying for medical care (e.g., Canada), to functioning as insurer of last resort for people unable to obtain it through their employer (e.g., Germany), to being both the insurer and the provider in a national health service (e.g., the United Kingdom). While the

delivery systems in each of these countries are not perfect, and some are experiencing significant stresses, insofar as achieving universal coverage, government functions as a legitimate public servant.

It seems ironic that the American public is skeptical about the government s role in health insurance expansions, since one of the most valued government programs is Medicare, which in its day was a major coverage expansion. Given the potential importance of government in financing and implementing any significant health insurance expansion, public attitudes about the validity of government s role pose a significant barrier to decreasing the number of uninsured.

# The United States can t afford to provide health insurance for everyone.

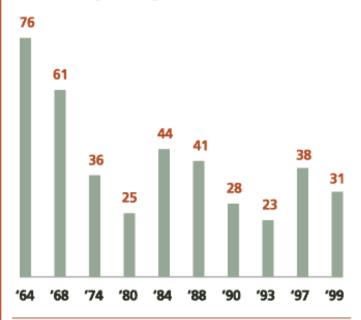
The cost of insuring the uninsured would depend upon which services are covered, as well as the indirect consequences of that expansion such as whether existing coverage would be maintained for those already insured. In 1994, researchers from the RAND Corporation estimated that it would take an additional \$20 billion annually to pay for the care needs of the uninsured, assuming they used health care services at the same rate as insured people with similar economic and demographic characteristics.

Twenty billion dollars was not a trivial amount, but it was only about 2 percent of national health expenditures in 1994. Clearly a country that spends more than \$8 trillion dollars annually on all goods and services can afford such a relatively small sum to provide insurance coverage to more people, or even an amount as high as \$100 billion per year.

# FACTS

Public Confidence in Government, 1964–1999, Selected Years

Percent of Americans saying they trust the federal government "always/most of the time" to do the right thing



Sources: For 1964–1994: Miller, Warren E., and the National Election Studies. American National Election Studies Cumulative Data File, 1952–1992 [Computer File]. 6th release. Ann Arbor, MI: University of Michigan, Center for Political Studies [producer], 1994.

For 1997 and 1999: May 1999 News Interest Index. Pew Research Center for the People & the Press [Online]. Available at www.peoplepress.org/ may99rpt3.htm [1999, May 24].

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# **Cross-Cutting Programs**

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# GRANTS

### The Advocacy Institute

#### Washington, DC

\$399,625—Health Policy Leadership Fellows Program (for 1 year). ID#37766 Substance Abuse, Other Health and Health Care

#### The Alliance for Health Reform

Washington, DC

\$497,555—Education campaign for journalists and editorial boards for covering health policy issues in year 2000 (for 16 months). ID#37672 Access, Chronic Conditions

#### American Association of Homes and Services for the Aging

Washington, DC

\$4,717,824—Information clearinghouse for Medicare choices (for 3 years). ID#37817 Access, Chronic Conditions

#### **Andean Rural Health Care**

Lake Junaluska, NC

\$99,409—Planning project to provide community-based primary care to low-income persons (for 7 months). ID#34159 Access, Chronic Conditions

#### University of California, San Francisco, Institute for Health Policy Studies

San Francisco, CA

\$156,715—Consulting on the Foundations evaluation and assessment activities (for 11 months). ID#37704 Access, Chronic Conditions, Substance Abuse, General Philanthropy

# **Caucus Educational Corporation Inc.**

Bloomfield, NJ

\$150,926—Health care series on public televisions CAUCUS: NEW JERSEY (for 1 year). ID#36291 Access, Chronic Conditions, Substance Abuse

# Central Massachusetts Area Health Education Center Incorporated

Worcester, MA

\$25,000—Development of a community health education program for Native American women (for 1 year). ID#36679 Access,

Chronic Conditions, Substance Abuse

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# Cross-Cutting Programs

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# GRANTS

# **Changes in Health Care Financing and Organization**

Program for research, demonstration, and evaluation projects examining major changes in health care financing (for the periods indicated).

# University of Arizona Health Sciences Center

Tucson, AZ

\$57,534(1 year). ID#37380 Chronic Conditions

# **Boston University School of Public Health**

Boston, MA

\$60,301(1 year). ID#38091 Access

\$49,449(1 year). ID#36618 General Philanthropy

# University of California, Davis

Davis, CA

\$98,155(1 year). ID#38089 Access

#### University of California, San Francisco

San Francisco, CA

\$290,507(18 months). ID#35969 Access

#### University of California, San Francisco, Institute for Health Policy Studies

San Francisco, CA

\$350,004(18 months). ID#36348 Access

### **University of Michigan**

Ann Arbor, MI

\$449,327(2 years). ID#36874 General Philanthropy

#### University of Michigan Institute for Social Research

Ann Arbor, MI

\$78,454(18 months). ID#36331 Access

# University of Minnesota, Carlson School of Management

Minneapolis, MN

\$236,585(18 months). ID#36150 Access

# University of Minnesota School of Public Health Minneapolis, MN

\$88,315(2 years). ID#36327 Access The New York Academy of Medicine New York, NY \$102,071(1 year). ID#38087 Access University of North Carolina at Chapel Hill Chapel Hill, NC \$99,992(1 year). ID#38086 Access University of Pennsylvania Philadelphia, PA \$98,543(18 months). ID#36330 Access \$96,327(1 year). ID#38092 Access University of Pennsylvania, The Wharton School Philadelphia, PA \$71,820(15 months). ID#36335 Access People to People Health Foundation, Inc. Millwood, VA \$97,397(15 months). ID#36333 Access University of Pittsburgh Graduate School of Public Health Pittsburgh, PA \$76,800(1 year). ID#36324 Access **University of Rochester** Rochester, NY \$103,929(1 year). ID#38088 Access **Stanford University School of Medicine** Stanford, CA \$87,411(1 year). ID#36334 Access **University of Washington** Seattle, WA \$100,768(15 months). ID#36332 Access \$88,178(1 year). ID#36326 Access Washington State University Pullman, WA \$75,205(1 year). ID#36328 Access Yale University New Haven, CT \$105,228(1 year). ID#38090 Access, Chronic Conditions Yale University School of Medicine New Haven, CT \$302,577(2 years). ID#38153 Access, Chronic Conditions

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# Cross-Cutting Programs

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# GRANTS

#### **Clinical Scholars Program®**

Program to allow young physicians committed to clinical medicine to acquire new skills and training in the non-biological sciences important to medical care systems (for the periods indicated).

#### University of California, Los Angeles, School of Medicine

Los Angeles, CA

\$842,487—(2 years). ID#37098 Access, Chronic Conditions, Substance Abuse, General Philanthropy

#### University of Chicago, The Pritzker School of Medicine

Chicago, IL

\$457,454—(2 years). ID#37090 Access, Chronic Conditions, Substance Abuse, General Philanthropy

#### The Johns Hopkins University School of Medicine

Baltimore, MD

\$609,807—(2 years). ID#37095 Access, Chronic Conditions, Substance Abuse, General Philanthropy

# **University of Michigan Medical School**

Ann Arbor, MI

\$384,996—(2 years). ID#37096 Access, Chronic Conditions, Substance Abuse, General Philanthropy

#### University of North Carolina at Chapel Hill School of Medicine

Chapel Hill, NC

\$762,489—(2 years). ID#37097 Access, Chronic Conditions, Substance Abuse, General Philanthropy

#### University of Washington School of Medicine

Seattle, WA

\$842,497—(2 years). ID#37092 Access, Chronic Conditions, Substance Abuse, General Philanthropy

#### Yale University School of Medicine

New Haven, CT

\$232,500—(2 years). ID#37093 Access, Chronic Conditions, Substance Abuse, General Philanthropy

#### University of Arkansas for Medical Sciences

Little Rock, AR

\$497,607—Technical assistance and direction for the Clinical Scholars Program (1 year).

ID#34986 Access, Chronic Conditions, Substance Abuse, General Philanthropy

**Columbia University** 

New York, NY

\$49,478—Seminars on identifying issues facing health care purchasers and providers (for 1 year). ID#35128 Other Health and Health Care

#### Columbia University Graduate School of Journalism

New York, NY

\$20,000—Resource guide for journalists covering managed care in Columbia Journalism Review (for 1 month). ID#36243 Access, Chronic Conditions

#### **Community Health Leadership Program**

Program to provide recognition for the contributions community health leaders make to achieving RWJFs mission and goals and to enhance the capacity of these individuals to have a more permanent and widespread impact on health care problems (for the periods indicated).

# Massachusetts Health Research Institute, Inc.

Boston, MA

\$3,000,000—(3 years). ID#34721 Access, Chronic Conditions, Substance Abuse, General Philanthropy

# Massachusetts Health Research Institute, Inc.

Boston, MA

\$583,310—Technical assistance and direction for Community Health Leadership Program (1 year). ID#34034 Access, Chronic Conditions, Substance Abuse, General Philanthropy

### The ETV Endowment of South Carolina, Inc.

Spartanburg, SC

\$2,212,102-How good is our health carea PBS series (for 1 year). ID#36058 Access, Chronic Conditions

#### Florida Atlantic University

Fort Lauderdale, FL

\$178,783—Understanding citizen involvement in the development of community capacity: an exploratory study (for 18 months). ID#37087 Substance Abuse, Other Health and Health Care

#### The Freedom Channel Inc.

Alexandria, VA

\$250,000—Developing an Internet project to provide the public access to candidates views on health care and other issues (for 3 months). ID#37389 Access, Chronic Conditions, Substance Abuse

#### **George Washington University**

Washington, DC

\$3,400,000-National Health Policy Forum (for 4 years). ID#30252 Access, Chronic Conditions, Substance Abuse

#### **The HSC Foundation**

Washington, DC

\$50,000—Development of a national resource center on sociocultural and financial barriers for children with special needs (for 1 year). ID#36408 Access, Chronic Conditions

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Cross-Cutting Programs

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# GRANTS

### **Health Policy Fellowships Program**

Program of 12-month experiences in Washington, DC, to develop the capacity of outstanding mid-career health professionals in academic and community-based settings to assume leadership roles in health policy and management (for the periods indicated).

**Baylor College of Medicine** Houston, TX \$74,743—(1 year). ID#36921 Access, Chronic Conditions, Substance Abuse University of Colorado Health Sciences Center Denver, CO \$76,125—(1 year). ID#36918 Access, Chronic Conditions, Substance Abuse **Group Health Cooperative of Puget Sound** Seattle, WA \$78,040—(1 year). ID#36892 Access, Chronic Conditions, Substance Abuse University of Illinois at Chicago Chicago, IL \$75,869—(1 year). ID#36894 Access, Chronic Conditions, Substance Abuse University of Missouri-Columbia Columbia, MO \$78,125—(1 year). ID#36893 Access, Chronic Conditions, Substance Abuse **Tufts University School of Dental Medicine** Boston, MA \$79,063—(1 year). ID#36919 Access, Chronic Conditions, Substance Abuse National Academy of Sciences Institute of Medicine Washington, DC

\$598,727—Technical assistance to the Health Policy Fellowships Program (1 year).
ID#35685 Access, Chronic Conditions, Substance Abuse, General Philanthropy

# The Horticultural Society of New York, Inc.

New York, NY

\$20,000—Establishing a vocational, educational, and life skills transitional program for inmates at Rikers Island (for 1 year). ID#37456 Substance Abuse, General Philanthropy

# University of Illinois at Chicago

Chicago, IL

\$30,000-Conference on health survey methods (for 18 months). ID#35712 Other Health and Health Care

#### **Investigator Awards in Health Policy Research Program**

Program to produce major works from senior and new investigators that would add to the health policy fields knowledge base (for the periods indicated).

Brown University

Providence, RI

\$249,974—(3 years). ID#36273 General Philanthropy

#### University of California, San Diego, School of Medicine

La Jolla, CA

\$220,641—(2 years). ID#36271 Access

#### **Columbia University**

New York, NY

\$241,042—(2 years). ID#36269 Other Health and Health Care

#### Georgetown University, Kennedy Institute of Ethics

Washington, DC

\$249,949—(3 years). ID#36295 Other Health and Health Care

# Harvard University School of Public Health

Boston, MA

\$249,900—(3 years). ID#36270 Other Health and Health Care

#### **University of Minnesota**

Minneapolis, MN

\$249,939—(3 years). ID#36272 Chronic Conditions

#### University of Pennsylvania School of Nursing

Philadelphia, PA

\$245,832—(3 years). ID#36274 Other Health and Health Care

#### **Research Foundation of the City University of New York**

New York, NY

\$249,960-(2 years). ID#36267 Other Health and Health Care

#### The Urban Institute

Washington, DC

\$238,008-(2 years). ID#36311 Other Health and Health Care

#### Association for Health Services Research, Inc.

Washington, DC

\$351,050—Technical assistance and direction for Investigator Awards in Health Policy Research (6 months). ID#35423 Access, Chronic Conditions, Substance Abuse

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Cross-Cutting Programs

Grants and contracts authorized in the year ended December 31, 1999.

# GRANTS

### **Health Policy Fellowships Program**

Program of 12-month experiences in Washington, DC, to develop the capacity of outstanding mid-career health professionals in academic and community-based settings to assume leadership roles in health policy and management (for the periods indicated).

**Baylor College of Medicine** Houston, TX \$74,743—(1 year). ID#36921 Access, Chronic Conditions, Substance Abuse University of Colorado Health Sciences Center Denver, CO \$76,125—(1 year). ID#36918 Access, Chronic Conditions, Substance Abuse **Group Health Cooperative of Puget Sound** Seattle, WA \$78,040—(1 year). ID#36892 Access, Chronic Conditions, Substance Abuse University of Illinois at Chicago Chicago, IL \$75,869—(1 year). ID#36894 Access, Chronic Conditions, Substance Abuse University of Missouri-Columbia Columbia, MO \$78,125—(1 year). ID#36893 Access, Chronic Conditions, Substance Abuse **Tufts University School of Dental Medicine** Boston, MA \$79,063—(1 year). ID#36919 Access, Chronic Conditions, Substance Abuse National Academy of Sciences Institute of Medicine Washington, DC

\$598,727—Technical assistance to the Health Policy Fellowships Program (1 year).
ID#35685 Access, Chronic Conditions, Substance Abuse, General Philanthropy

# The Horticultural Society of New York, Inc.

New York, NY

\$20,000—Establishing a vocational, educational, and life skills transitional program for inmates at Rikers Island (for 1 year). ID#37456 Substance Abuse, General Philanthropy

# University of Illinois at Chicago

Chicago, IL

\$30,000-Conference on health survey methods (for 18 months). ID#35712 Other Health and Health Care

#### **Investigator Awards in Health Policy Research Program**

Program to produce major works from senior and new investigators that would add to the health policy fields knowledge base (for the periods indicated).

Brown University

Providence, RI

\$249,974—(3 years). ID#36273 General Philanthropy

#### University of California, San Diego, School of Medicine

La Jolla, CA

\$220,641—(2 years). ID#36271 Access

#### **Columbia University**

New York, NY

\$241,042—(2 years). ID#36269 Other Health and Health Care

#### Georgetown University, Kennedy Institute of Ethics

Washington, DC

\$249,949—(3 years). ID#36295 Other Health and Health Care

# Harvard University School of Public Health

Boston, MA

\$249,900—(3 years). ID#36270 Other Health and Health Care

#### **University of Minnesota**

Minneapolis, MN

\$249,939—(3 years). ID#36272 Chronic Conditions

#### University of Pennsylvania School of Nursing

Philadelphia, PA

\$245,832—(3 years). ID#36274 Other Health and Health Care

#### **Research Foundation of the City University of New York**

New York, NY

\$249,960-(2 years). ID#36267 Other Health and Health Care

#### The Urban Institute

Washington, DC

\$238,008-(2 years). ID#36311 Other Health and Health Care

#### Association for Health Services Research, Inc.

Washington, DC

\$351,050—Technical assistance and direction for Investigator Awards in Health Policy Research (6 months). ID#35423 Access, Chronic Conditions, Substance Abuse

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# Cross-Cutting Programs

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# GRANTS

### Kaiser Foundation Hospitals, Kaiser Foundation Research Institute

Portland, OR

\$49,829—Evaluation of HMO-school partnerships to improve childrens health (for 20 months). ID#36713 Access, Chronic Conditions

#### L.I.F.T., Inc.

Trenton, NJ

\$20,920—Planning for family support services center (for 1 year). ID#36487 Access, Substance Abuse

### Local Initiative Funding Partners Program

Matching grant program to help agencies and institutions identified by local philanthropies that are undertaking innovative solutions to major community health problems (for the periods indicated).

#### University of California, San Francisco, Mount Zion Violence Prevention Project

San Francisco, CA \$470,000—(3 years). ID#37335 Access, Chronic Conditions Catholic Social Service of the Diocese of Rapid City

Rapid City, SD

\$241,580—(4 years). ID#37321 Chronic Conditions, Substance Abuse

# Chicago Cook County Ambulatory Care Board Inc.

Chicago, IL

\$470,000—(3 years). ID#37331 Chronic Conditions

#### **Coordinated Care Network**

Pittsburgh, PA

\$470,000—(3 years). ID#37334 Access, Chronic Conditions

# Dade County Area Health Education Center Program Inc.

Miami, FL

\$200,000—(2 years). ID#37323 Access, Chronic Conditions, Substance Abuse

# Family Violence Prevention Services, Inc.

San Antonio, TX

\$470,000—(4 years). ID#37320 Substance Abuse

# University of Illinois at Chicago Chicago, IL \$470,874—(4 years). ID#37315 Substance Abuse, General Philanthropy **Interfaith Council for the Homeless** Chicago, IL \$225,000—(3 years). ID#37318 Chronic Conditions, Substance Abuse Lane County Eugene, OR \$365,001-(3 years). ID#37322 Access **Marin Community Clinic** Greenbrae, CA \$470,000-(4 years). ID#37324 Access, Substance Abuse **Molokai General Hospital** Kaunakakai, HI \$470,051—(4 years). ID#37333 Chronic Conditions **Orlando Regional Healthcare Foundation, Inc.** Orlando, FL \$470,000-(4 years). ID#37336 Access **Palmetto Project Inc.** Charleston, SC \$285,000-(4 years). ID#37316 Access **Philadelphia FIGHT** Philadelphia, PA \$365,000—(3 years). ID#37326 Chronic Conditions St. Mary s Foundation Pierre, SD \$50,000-(20 months). ID#38228 Access Senior Services of Seattle King County Seattle, WA \$194,007—(3 years). ID#37330 Chronic Conditions The Supportive Housing and Managed Care Pilot Minneapolis, MN \$470,000—(4 years). ID#37329 Chronic Conditions, Substance Abuse **Union Mission Inc.** Savannah, GA \$475,000—(4 years). ID#37327 Access, Substance Abuse Washington State University College of Nursing Spokane, WA \$301,840-(4 years). ID#37317 Access

Princeton, NJ \$815,657—Technical assistance and direction for the Local Initiative Funding Partners Program (1 year). ID#35412 Access. Chronic Conditions. Substance Abuse

Health Research and Educational Trust of New Jersey

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# Cross-Cutting Programs

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# GRANTS

# Medicaid Managed Care Program

Program to work with states and Medicaid managed care organizations to improve access and care for vulnerable populations covered under Medicaid managed care (for the period indicated).

Center for Health Care Strategies Supporting Organization Inc.

Princeton, NJ

\$25,622,460-(5 years). ID#36235 Access, Chronic Conditions

#### **Medicare/Medicaid Integration Program**

A ten-state demonstration to test the operation and design of delivery systems that integrate long-term and acute care services under combined Medicare and Medicaid capitation payments for elderly patients (for the periods indicated).

# Health Research, Inc. Rensselaer, NY \$296,469—(2 years). ID#38032 Access, Chronic Conditions State of Oregon Department of Human Resources, Senior and Disabled Services Division

Salem, OR

\$300,000-(18 months). ID#37569 Access, Chronic Conditions

#### University of Maryland Center on Aging

College Park, MD

\$749,445—Technical assistance and direction for the Medicare/Medicaid Integration Program (1 year).

35411 ID#35236 Access, Chronic Conditions

#### University of Michigan Institute for Social Research

Ann Arbor, MI

\$249,200—Archiving of Foundation-supported data collections at ICPSR (for 2 years). ID#29946 Other Health and Health Care

#### National Conference of State Legislatures

Washington, DC

\$233,436—Planning an expanded information program for state health policy leaders (for 6 months). ID#37549 Other Health and Health Care

# National Health Care Purchasing Institute

Program to advance the capabilities of the nations largest public- and private-sector health care purchasers so that they leverage their buying power to improve access to and quality of care, particularly for people with chronic conditions (for the period indicated).

# Alpha Center for Health Planning, Inc.

Washington, DC

\$7,698,734—(65 months). ID#36662 Access, Chronic Conditions

#### National Public Radio, Inc.

Washington, DC

\$3,266,010—Health issue coverage and web site project (for 3 years). ID#30972 Access, Chronic Conditions, Substance Abuse

# National Rural Health Association

Kansas City, MO

\$29,891—Conference to develop an agenda for rural health policy research (for 2 months). ID#38370 Access, Chronic Conditions, Substance Abuse

#### **New Jersey Health Initiatives**

Program to support projects in New Jersey that develop new approaches to resolving the states health care needs, focusing on the Foundations goal areas (for the periods indicated).

| Atlantic County   |
|---|
| Atlantic City, NJ   |
| \$270,000—(3 years). ID#37971 Access                                |
| Boys and Girls Clubs of Newark, Inc.                                |
| Newark, NJ  |
| \$265,000—(3 years). ID#37966 Substance Abuse, General Philanthropy |
| Lifeties, Inc.  |
| Trenton, NJ   |
| \$49,100-(6 months). ID#37976 Chronic Conditions                    |
| The Plainfield Neighborhood Health Services, Inc.                   |
| Plainfield, NJ  |
| \$375,000—(3 years). ID#37967 Access                                |
| St. Joseph s Hospital and Medical Center                            |
| Paterson, NJ  |
| \$427,985—(3 years). ID#37975 Access                                |
| Seabrook House  |
| Seabrook, NJ  |
| \$240,000—(3 years). ID#37965 Substance Abuse                       |
| Superior Court of New Jersey Passaic Vicinage Probation Division    |
| Paterson, NJ  |
| \$290,522-(3 years). ID#37964 Substance Abuse                       |
| West Jersey Hospital  |
| Camden, NJ  |
| \$425,319—(3 years). ID#37977 Access, Chronic Conditions            |
|   |

# Health Research and Educational Trust of New Jersey

Princeton, NJ

\$412,334—Technical assistance and direction for New Jersey Health Initiatives (1 year).

ID#35411 Access, Chronic Conditions, Substance Abuse

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# Cross-Cutting Programs

Grants and contracts authorized in the year ended December 31, 1999.

# GRANTS

#### University of Pennsylvania Health System

Philadelphia, PA

\$442,316—Content analyses of media reporting on access to health care and chronic illness (for 14 months). ID#37940 Access, Chronic Conditions

#### **People-to-People Health Foundation, Inc.**

Millwood, VA

\$749,101—Study on the consequences for Medicare beneficiaries when Medicare HMOs close (for 30 months). ID#36397 Access, Chronic Conditions

#### **Rockefeller University**

New York, NY

\$30,000—Creating an association for patient-oriented research (for 10 months). ID#35999 Access, Chronic Conditions, Substance Abuse

### Scholars in Health Policy Research Program

Program to provide postdoctoral training targeted to the most promising graduates of programs in the three disciplines of economics, political science, and sociology to advance their involvement in health policy (for the periods indicated).

#### **Boston University School of Management**

Boston, MA

\$399,986—Technical assistance and direction for Scholars in Health Policy Research Program (1 year).

ID#35679 Access, Chronic Conditions, Substance Abuse, General Philanthropy

# Syracuse University, Maxwell School of Citizenship and Public Affairs

Syracuse, NY

\$148,371—Evaluation of the Scholars in Health Policy Research Program (1 year).

ID#38557 Access, Chronic Conditions, Substance Abuse, General Philanthropy

### **Society for Prevention Research**

Pittsburgh, PA

\$24,999—Eighth annual meeting of the Society for Prevention Research (for 6 months). ID#38602 Access, Chronic Conditions,

#### Substance Abuse

#### Sound Partners for Community Health

*Program to enable local public radio stations to do special health care programming and outreach in their communities (for the period indicated).* 

#### **Benton Foundation**

Washington, DC
\$748,177—Technical assistance and direction for Sound Partners for Community Health (1 year).
ID#34749 Access, Chronic Conditions, Substance Abuse

#### **Special Olympics International Inc.**

Washington, DC

\$50,000—Oral health program for Special Olympics athletes (for 1 year). ID#34766 Access, Chronic Conditions

# Strengthening the Patient-Provider Relationship in a Changing Health Care Environment

Program to support research and convening activities that examine the patient-provider relationship under the growing influence of managed care (for the periods indicated).

**Boston University School of Public Health** 

Boston, MA

\$89,539—(1 year). ID#38186 Access

# University of California, San Francisco, School of Medicine

San Francisco, CA

\$95,754—(1 year). ID#38253 Access, Chronic Conditions

# **Duke University Medical Center**

Durham, NC

\$310,132-(21 months). ID#38218 Access, Chronic Conditions

# Harvard University School of Public Health

Boston, MA

\$707,912-(18 months). ID#37772 Access

#### The Johns Hopkins University School of Hygiene and Public Health

Baltimore, MD

\$240,600—(2 years). ID#36484 Access, Chronic Conditions

#### **Montefiore Medical Center**

Bronx, NY

\$103,408—(1 year). ID#36445 Access, Chronic Conditions

#### **University of Washington**

Seattle, WA

\$50,883—(8 months). ID#36448 Access, Chronic Conditions

# University of California, San Francisco, School of Medicine

San Francisco, CA

\$435,868—Technical assistance and direction for Strengthening the Patient-Provider Relationship in a Changing Health Care Environment (1 year). ID#33466 Access, Chronic Conditions

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Grants & Contracts

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# Cross-Cutting Programs

Grants and contracts authorized in the year ended December 31, 1999.

# CONTRACTS

#### **Communications Projects**

Multiple Contractors

\$750,000—Communications assistance for grantee activities (for 1 year). ID#36579 Access, Chronic Conditions, Substance Abuse \$100,000—Publication of the RWJF Anthology Third Edition (for 6 months). ID#37298 Access, Chronic Conditions, Substance Abuse

\$404,000—Connecting Grantees to Policymakers Project (for 18 months). ID#37488 Access, Chronic Conditions, Substance Abuse \$43,481—Study of grantee communications and the Foundations role in advancing this work (for 4 months). ID#38042 Access, Chronic Conditions, Substance Abuse

\$750,000—*Communications assistance for grantee activities (for 6 months).* ID#38187 Access, Chronic Conditions, Substance Abuse

\$275,998—Distribution and development of the third edition of readings in medicine, On Doctoring (for 17 months). ID#36665 Access, Chronic Conditions, Substance Abuse, General Philanthropy

\$750,000—PMT Consultant Authorization Fund (for 1 year). ID#38354 Other Health and Health Care

#### **Ducat Segal Productions, Inc.**

New York, NY

\$49,893—Research for TV project highlighting the need for improved care and support for children with Pervasive Development Disorder (PDD) and their families (for 5 months). ID#35887 Access, Chronic Conditions

# Mathematica Policy Research, Inc.

Washington, DC

\$1,994,685—Monitoring of Medicare+Choice: Early effects on the insurance decision process of Medicare beneficiaries (for 32 months). ID#35068 Access, Chronic Conditions

#### **Medicare/Medicaid Integration Program**

A ten-state demonstration to test the operation and design of delivery systems that integrate long-term and acute care services under combined Medicare and Medicaid capitation payments for elderly patients (for the period indicated).

# Mark R. Meiners, PhD

North Potomac, MD

\$45,669—Technical assistance and consulting services for Medicare/Medicaid Integration Program

(3 months). ID#34750 Access, Chronic Conditions

# **Radiant Communications, Inc.**

Glen Ridge, NJ

\$392,093—Communications training for RWJF grantees (for 1 year). ID#34289 Access, Chronic Conditions, Substance Abuse

# Sutton Social Marketing, LLC

Washington, DC

\$220,975—Strategic communications issues related to work in our health and health care divisions (for 1 year). ID#37265 Other Health and Health Care

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## Cross-Cutting Programs

Grants and contracts authorized in the year ended December 31, 1999.

### CONTRACTS

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The President's Message

## The American Paradox: Lack of health insurance in a land of plenty

hould pay for it. Politically, tax hikes are a non-starter. At the federal level, and in many states, budget surpluses are now available, thanks to the booming economy. But there are multiple claimants for these funds, including tax rebates; public school systems; and police departments. Given the limited political clout of the uninsured their voting rates are low and they contribute little to political campaign war chests they will not fare well in a competition for surplus revenues.

#### Special interests are more effective at blocking new proposals than in uniting to support them.

There is a lot of truth here. The health care agendas of such groups as business, labor, health professionals, advocates for the uninsured, and health insurers are obviously divergent. In recent legislative battles, groups unable to achieve their own legislative preference have fallen back to preserving the status quo.

Some observers believe major reform is impossible because it is politically unpopular and because the searing experience of the failed Clinton Health Reform Plan is still so vivid. At the same time, because of the diversity of special interest concerns, it is rare to find even an incremental coverage proposal that is widely acceptable; more commonly, the special interests are united in opposition (though with differing motives) to any specific proposal. Thus health insurance reform is caught between the apparent impossibility of major reform and the opposition to specific increments of reform.

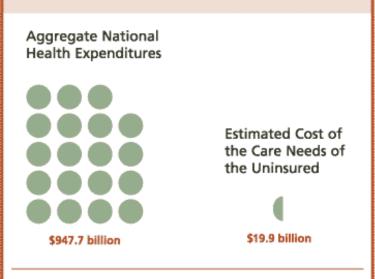
#### Overcoming the arguments.

The above reasons show why the United States the world s wealthiest nation tolerates so many uninsured when the rest of the developed world provides health insurance as a basic entitlement of citizenship. Yet we recently managed to achieve a major expansion in health insurance coverage, despite these factors. An examination of this expansion and its implementation holds some lessons and cautions for future efforts.

The 1997 Balanced Budget Act represented an unprecedented bipartisan consensus that poor children should have health insurance. Enacted at a time of

## FACTS

Aggregate National Health Expenditures and Additional Cost of the Uninsured, 1994 HOME >



Sources: National Health Expenditures Aggregate and Per Capita Amounts, Percent Distribution, and Average Annual Percent Growth, by Source of Funds: Selected Calendar Years 1960–98. The Health Care Financing Administration [Online]. Available at: www.hcfa.gov/stats/nhe-oact/tables/t1.htm [2000, January 10].

Long, S. and M. Marquis, "The Uninsured Access Gap and the Cost of Universal Coverage," Health Affairs, Spring (II): 211-219, 1994. unexpected budget surpluses, it provided \$24 billion

over 5 years, and \$48 billion over 10 years, to help states expand children s health insurance by expanding their Medicaid programs, creating an alternative State Children s Health Insurance Plan (CHIP), or combining the two. Presumably, the new legislation could stimulate coverage for at least half of the then estimated 10 million uninsured children.

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## Selected Bibliography

Each year the Foundations grantees report to us the publications and other information materials that have been produced as a direct or indirect result of their grants. This bibliography presents a sampling of citations from the books, book chapters, journal articles, reports, and audiovisual materials that have been produced and reported to us by Foundation grantees. The publications are available through libraries and/or the publishers. We regret that copies are not available from the Foundation.

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## **Financial Statements**

The annual financial statements for the Foundation for 1999 appear under the navigation heading *Financials*. A listing of awards in 1999 begins in the Grants & Contracts section.

In 1999 the net assets of the Foundation increased 10.4 percent. The Foundation awarded grants and contracts totaling \$421 million. Program development, evaluation, and general administration for the year were \$29.6 million or 7 percent of total awards. This modest percentage continues the Foundation s commitment to maximize the funds (93 cents of every dollar) available to our grant projects.

Investment expenses totaled \$21.3 million reflecting an increased use of outside investment managers and limited partnerships. Federal excise tax amounted to \$6.2 million.

The Internal Revenue Code requires private foundations to make qualifying distributions of 5 percent of the fair market value of assets not used in carrying out the charitable purpose of the Foundation. These distributions are to be made within a 24-month period. The Foundation has fulfilled its 1998 requirement (\$353.7 million). The 1999 requirement (\$407.1 million) will be met in mid-2000.

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Peter Goodwin Vice President and Treasurer

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Financial Statements

## Report of Independent Accountants

To the Trustees of The Robert Wood Johnson Foundation

In our opinion, the accompanying statements of financial position and the related statements of activities and cash flows present fairly, in all material respects, the financial position of The Robert Wood Johnson Foundation ( the Foundation ) at December 31, 1999 and 1998, and the changes in its net assets and its cash flows for the years then ended, in conformity with accounting principles generally accepted in the United States. These financial statements are the responsibility of the Foundation s management; our responsibility is to express an opinion on these financial statements based on our audits. We conducted our audits of these statements in accordance with auditing standards generally accepted in the United States, which require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, and evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for the opinion expressed above.

#### **PricewaterhouseCoopers LLP**

New York, New York February 18, 2000

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**Financial Statements** 

# Statements of Financial Position

| At December 31, 1999 and 1998 (in thousands)  | 1999        | 1998        |
|---|-------------|-------------|
| Assets:                                       |             |             |
| Cash and cash equivalents                     | \$ 124,514  | \$ 152,911  |
| Receivable on pending securities transactions | 13,654      | 74,821      |
| Interest and dividends receivable             | 14,208      | 14,873      |
| Contribution receivable                       | 17,371      | 19,115      |
| Investments at fair value:                    |             |             |
| Johnson & Johnson common stock                | 5,339,528   | 5,151,632   |
| Other equity investments                      | 2,342,359   | 1,521,197   |
| Fixed income investments                      | 749,920     | 854,812     |
| Program-related investments                   | 15,737      | 17,459      |
| Other assets                                  | 23,117      | 19,363      |
| Total assets                                  | \$8,640,408 | \$7,826,183 |
| Liabilities and Net Assets:                   |             |             |
| Liabilities:                                  |             |             |
| Accounts payable and accrued expenses         | \$ 8,947    | \$ 2,591    |
| Payable on pending securities transactions    | 58,745      | 113,160     |
| Unpaid grants                                 | 399,980     | 308,435     |
| Deferred federal excise tax                   | 114,845     | 103,133     |
| Accumulated postretirement benefit obligation | 8,617       | 7,802       |
| Total liabilities                             | 591,134     | 535,121     |
| Net assets unrestricted                       | 8,049,274   | 7,291,062   |
| Total liabilities and net assets              | \$8,640,408 | \$7,826,183 |
| See notes to financial statements.            |             |             |
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**Financial Statements** 

# Statements of Activities

| For the years ended December 31, 1999 and 1998 (in thousands)   | 1999      | 19       |
|---|-----------|----------|
| Investment income:  |           |          |
| Dividends   | \$ 83,917 | \$ 78,38 |
| Interest  | 60,324    | 70,64    |
|   | 144,241   | 149,02   |
| Less: Federal and state tax                                     | 1,225     | 1,32     |
| Investment expense  | 21,288    | 17,74    |
|   | 121,728   | 129,94   |
| Contribution income   | 1,256     | 9,46     |
|   | 122,984   | 139,40   |
| Program costs and administrative expenses:                      |           |          |
| Grants, net   | 375,481   | 307,90   |
| Program contracts and related activities                        | 30,455    | 16,87    |
| Program development and evaluation                              | 18,772    | 15,80    |
| General administration  | 10,852    | 8,95     |
|   | 435,560   | 349,53   |
| Excess of program costs and expenses over income                | (312,576) | (210,12  |
| Other changes to net assets, net of related federal excise tax: |           |          |
| Realized gains on sale of securities                            | 502,316   | 467,35   |
| Unrealized appreciation on investments                          | 568,472   | 710,33   |
|   | 1,070,788 | 1,177,69 |
| Change in net assets unrestricted                               | 758,212   | 967,50   |
| Net assets, beginning of year unrestricted                      | 7,291,062 | 6,323,49 |

http://www.rwjf.org/files/publications/annual/1999/fin-activ-1.html (1 of 2) [8/13/2008 12:45:16 PM]

| Net assets, end of year unrestricted | \$ 8,049,274 | \$ 7,291,062 |
|--------------------------------------|--------------|--------------|
|                                      |              |              |

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See notes to financial statements.

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**Financial Statements** 

# Statements of Cash Flows

| At December 31, 1999 and 1998 (in thousands)              | 1999        | 1998        |
|---|-------------|-------------|
| Cash flows from operating activities:                     |             |             |
| Change in net assets                                      | \$ 758,212  | \$ 967,566  |
| Adjustments to reconcile change in net assets             |             |             |
| to net cash used in operating activities:                 |             |             |
| Depreciation  | 2,519       | 1,964       |
| Decrease in interest and dividends receivable             | 665         | 2,240       |
| Decrease (increase) in contribution receivable            | 1,744       | (9,460)     |
| Net realized and unrealized gains on investments          | (1,070,788) | (1,177,691) |
| Decrease in program related investments                   | 1,722       | 1,757       |
| Increase in accounts payable                              | 6,356       | 109         |
| Increase in unpaid grants                                 | 91,545      | 22,339      |
| Increase in accumulated postretirement benefit obligation | 815         | 1,353       |
| Other   | (756)       | (504)       |
| Net cash used in operating activities                     | (207,966)   | (190,327)   |
| Cash flows from investing activities:                     |             |             |
| Proceeds from security sales                              | 2,441,472   | 3,439,212   |
| Cost of security purchases                                | (2,256,386) | (3,282,781) |
| Acquisition of property and equipment                     | (5,517)     | (4,605)     |
| Net cash provided by investing activities                 | 179,569     | 151,826     |
| Net increase in cash and cash equivalents                 | (28,397)    | (38,501)    |
| Cash and cash equivalents at beginning of year            | 152,911     | 191,412     |
| Cash and cash equivalents at end of year                  | \$ 124,514  | \$ 152,911  |
| Supplemental data:  |             |             |
| Federal excise tax paid                                   | \$ 5,624    | \$ 5,917    |

See notes to financial statements.

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RWJF - Financial Statements: Statements of Cash Flows

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The President's Message

## The American Paradox: Lack of health insurance in a land of plenty

Four major reasons for the legislation s surprising success: children were seen as deserving health insurance; widespread under-enrollment of Medicaid-eligible children existed in most states; a budget surplus was available to provide the needed funding; and the incremental cost of covering children is low, because their projected medical expenditures are relatively modest.

To date, CHIP s results have been mixed. Now, 27 states and the District of Columbia have programs covering children in families with incomes of at least 200 percent of the federal poverty level, while before, only seven states did so (New Jersey has the most generous program in the country, covering children in families with incomes up to 350 percent of the federal poverty level). Nearly 2 million new children have been enrolled. Yet there is some concern that those who have lost coverage come from families with lower incomes, while the newly enrolled children come from families with somewhat higher incomes. More importantly, however, more children are uninsured now than before 1997; the most recent estimate is 11 million, a net loss of one million children.

One reason for the loss appears to be welfare reform. Welfare and Medicaid were linked administratively in many states. The uncoupling of these two programs created widespread confusion, even among the county workers who administer welfare. Many people who have left welfare for work (often for low-wage jobs that do not offer health benefits) do not realize or are not told that they are still eligible for Medicaid coverage for their children and themselves.

Another reason for CHIP s mixed results is that enthusiasm for expanding health insurance coverage for poor children is not universal. Some state politicians hesitate to spend the required state matching dollars, and many state welfare and Medicaid officials feel conflicted while they would like to enroll more children, they still wish to keep state budgets under control.



Rev. Kenneth S. Robinson, MD Memphis, Tennessee

This is a poor, urban, African-American community. Many people here do not have health insurance. A large number are the working poor who cannot afford to buy it.

I frequently minister to those who have no health care provider and who are acutely ill as a result of preventable diseases. That is devastating to me as a pastor and as a physician. I ve performed scores of funerals for persons who, had they been diagnosed and appropriately treated at earlier stages of their illnesses, would not necessarily have succumbed to those diseases. It is demoralizing to individuals in our community to know that good, quality health care is available but they cannot afford it and cannot access it.

We are a nation that espouses



for Health Care Research and Quality [Online]. Available at www.meps.ahrq.gov/data.htm [1999, December 30].

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egalitarian principles. We preach the greatest good for the greatest number . But we are not practicing those ideals when it comes to health care.

I believe we are our brothers and sisters keepers. We must ask ourselves, How can a nation of plenty, a democratic society, have such an inequitable health care system? Clearly, from an ethical perspective, in order to put our ideals into practice, we must assure access to affordable, quality health care for all Americans.

Rev. Kenneth S. Robinson, MD, is pastor and chief executive of St. Andrew AME Church, and assistant dean at The University of Tennessee, Memphis, College of Medicine. He received an RWJF Community Health Leadership Award in 1998.

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#### Financial Statements

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## Notes to Financial Statements

#### NOTE 1:

#### **ORGANIZATION:**

The Foundation is an organization exempt from Federal income taxation under Section 501(c)(3), and is a private foundation as described in Section 509(a) of the Internal Revenue Code.

The Foundation s mission is to improve the health and health care of all Americans. The Foundation concentrates its grantmaking in three areas:

to assure that all Americans have access to basic health care at reasonable cost;

to improve care and support for people with chronic health conditions;

to promote health and reduce the personal, social, and economic harm caused by substance abuse tobacco, alcohol, and illicit drugs

#### NOTE 2:

#### SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

Cash and cash equivalents represent cash and short term investments purchased with an original maturity of three months or less. The carrying value approximates fair value.

Marketable securities are reported on the basis of quoted market value as reported on the last business day of the year on securities exchanges throughout the world. Realized gains and losses on investments in securities are calculated based on the first-in, first-out method. Investments in limited partnership interests are stated at fair value based on financial statements and other information received from the partnerships. Fair value is the estimated net realizable value of holdings priced at quoted market value, where market quotations are available, historical cost or other estimates including appraisals. Because of the uncertainty of valuations for certain of the underlying investments which do not have quoted market values, the values for those investments could differ had a ready market existed. The realization of the Foundation s investment in these partnership interests is dependent upon the general partners distributions during the life of each partnership.

Property and equipment are capitalized and carried at cost. Maintenance and repairs are charged to expense as incurred. Depreciation of \$2,519,375 in 1999 and \$1,963,752 in 1998 is calculated using the straight-line method over the estimated useful lives of the depreciable assets.

The Internal Revenue Service provides that each year the Foundation must distribute within 12 months of the end of such year, approximately 5% of the average fair value of its assets not used in carrying out the charitable purpose of the Foundation. The distribution requirement for 1998 has been met and the 1999 requirement is expected to be met during 2000.

Deferred federal excise taxes are the result of unrealized appreciation on investments being reported for financial statement purposes in different periods than for tax purposes.

The preparation of financial statements in conformity with generally accepted accounting principles requires management to

make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. The Foundation makes significant estimates regarding the value of limited partnership investments, discounts for contributions receivable and unpaid grants, and useful lives of property and equipment. Actual results could differ from these estimates.

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## Notes to Financial Statements

#### NOTE 3:

#### FEDERAL EXCISE TAX:

The Internal Revenue Code imposes an excise tax on private foundations equal to 2 percent of net investment income (principally interest, dividends, and net realized capital gains, less expenses incurred in the production of investment income). This tax is reduced to 1 percent for foundations that meet certain distribution requirements. In 1999 and 1998, the Foundation satisfied these requirements and is, therefore, eligible for the reduced rate.

The provision for federal excise tax consists of a current provision on realized net investment income and a deferred provision on unrealized appreciation of investments. The current provision for 1999 on net investment income at 1 percent was \$6,244,429. The current provision in 1998 at 1 percent was \$5,977,692. The change in unrealized appreciation reflected on the Statements of Activities includes a provision for deferred taxes based on net unrealized appreciation of investments at 2 percent. The increase in unrealized appreciation in 1999 and 1998 resulted in an increase of the deferred federal excise tax liability of \$11,711,892 and \$14,625,494, respectively.

#### NOTE 4:

#### **CONTRIBUTION RECEIVABLE:**

The Foundation recorded as contribution receivable the present value of the estimated future benefit to be received as a remainderman in a trust and the estimated amount to be received as a beneficiary in an estate. The interest rate used to discount the trust receivable to present value ranges from 6.0% to 6.5%.

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## Notes to Financial Statements

#### NOTE 5:

#### **INVESTMENTS:**

At December 31, 1999 and 1998, the cost and fair values of the investments are summarized as follows (in thousands):

|                               | 1999        |             | 19          | 1998        |  |
|-------------------------------|-------------|-------------|-------------|-------------|--|
|                               | Cost        | Fair Value  | Cost        | Fair Value  |  |
| Johnson & Johnson Common      |             |             |             |             |  |
| 57,260,354 and 61,420,354     | ¢ 68 204    | ¢5 220 528  | ¢ 72.262    | ¢5 151 622  |  |
| shares in 1999 and 1998,      | \$ 68,394   | \$5,339,528 | \$ 73,363   | \$5,151,632 |  |
| respectively                  |             |             |             |             |  |
| Other equity investments:     |             |             |             |             |  |
| Domestic equities             | 580,717     | 864,028     | 501,369     | 638,669     |  |
| International equities        | 320,811     | 449,041     | 290,312     | 317,388     |  |
| Emerging market equities      | 180,074     | 247,665     | 182,049     | 145,657     |  |
| Limited partnership interests | 668,339     | 781,625     | 388,331     | 419,483     |  |
| Fixed income investments      | 797,616     | 749,920     | 856,003     | 854,812     |  |
|                               | \$2,615,951 | \$8,431,807 | \$2,291,427 | \$7,527,641 |  |

Pursuant to its limited partnership agreements, as of December 31, 1999, the Foundation had unfunded commitments of approximately \$634 million which are expected to be funded over the next three to five years.

The net realized gains on sales of securities for 1999 and 1998 were as follows (in thousands):

|                                | 1999       | 1998      |
|--------------------------------|------------|-----------|
| Johnson & Johnson Common Stock | \$ 393,603 | \$393,519 |
| Other securities, net          | 113,732    | 78,488    |
| Less, Federal and excise tax   | (5,019)    | (4,650)   |

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# Notes to Financial Statements

#### NOTE 6:

### **PROPERTY AND EQUIPMENT:**

At December 31, 1999 and 1998, property and equipment, a component of other assets, was comprised of (dollars in thousands):

|                                | 1999     | 1998     | Depreciable Life in Yrs. |
|--------------------------------|----------|----------|--------------------------|
| Land and land improvements     | \$ 2,902 | \$2,910  | 15                       |
| Buildings                      | 13,955   | 12,732   | 40                       |
| Furniture and equipment        | 19,148   | 14,667   | 3 5                      |
|                                |          |          |                          |
| Total                          | 36,005   | 30,309   |                          |
| Less, Accumulated depreciation | (16,732) | (14,213) |                          |
|                                |          |          |                          |
| Property and equipment, net    | \$19,273 | \$16,096 |                          |
|                                |          |          |                          |

#### NOTE 7:

#### **UNPAID GRANTS:**

At December 31, 1999 the unpaid grant liability is expected to be paid in future years as follows (in thousands):

| 2000                              | \$150,229 |
|-----------------------------------|-----------|
| 2001                              | 144,281   |
| 2002                              | 85,009    |
| 2003                              | 40,515    |
| 2004 and thereafter               | 30,990    |
|                                   |           |
|                                   | 451,024   |
| Less, Discounted to present value | (51,044)  |
|                                   |           |
|                                   | \$399,980 |

Generally accepted accounting principles require contributions made ( unpaid grants ) to be recorded at the present value of estimated future cash flows. As of December 31, 1999, the Foundation has discounted the amount of unpaid grant liability by applying interest rate factors ranging from 6% to 6.5% and an estimated cancellation rate of 3%. At December 31, 1998, the unpaid grant liability was discounted to present value by \$39,262,158.

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## Notes to Financial Statements

#### NOTE 8:

## **BENEFIT PLANS:**

#### **Retirement Plan**

Substantially all employees of the Foundation are covered by a retirement plan which provides for retirement benefits through a combination of the purchase of individually-owned annuities and cash payout. The Foundation s policy is to fund costs incurred. Pension expense was \$1,433,419 and \$1,288,358 in 1999 and 1998, respectively.

#### Postretirement Benefits

The Foundation provides postretirement medical and dental benefits to all employees who meet eligibility requirements. In addition, the Foundation has adopted supplemental benefit plans to provide additional benefits for certain key employees who meet certain requirements.

|  | 1999       | 1998       |
|--|------------|------------|
| Benefit obligation at December 31  | \$ 8,583   | \$ 8,519   |
| Fair value of plan assets at December 31                                 |            |            |
| Funded status  | \$(8,583)  | \$ (8,519) |
| (Accrued) benefit cost recognized in the statement of financial position | \$ (8,617) | \$ (7,802) |
| Weighted-average assumptions as of December 31                           |            |            |
| Discount rate:   |            |            |
| Medical and dental plans   | 7.25%      | 6.00%      |
| Supplemental benefit plans   | 6.48%      | 5.10%      |
| Expected return on plan assets   | N/A        | N/A        |

For measurement purposes, a 7% annual rate of increase in per capita cost of covered health care benefits was assumed for 2000. The rate was assumed to decrease gradually to 5.5% for 2005 and remain at that level thereafter

|                                 | 1999    | 1998    |
|---------------------------------|---------|---------|
| Benefit cost                    | \$1,034 | \$1,593 |
| Employer contributions          | 220     | 240     |
| Plan participants contributions |         |         |
| Benefits paid                   |         |         |
|                                 | 220     | 240     |

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The President's Message

# The American Paradox: Lack of health insurance in a land of plenty

States also have varying levels of capacity. As we are learning through some of our grant programs, there are lots of problems when trying to implement a program like CHIP problems the federal architects left to the states to solve.

We are bailing with a leaky bucket. True, CHIP and Medicaid expansions are covering new children, but at the same time welfare reform and other barriers are creating more uninsured children.

For the country, the policy stakes are high. Recall that conventional wisdom in the wake of the failed Clinton Health Plan is that only incremental reforms are possible. If the CHIP/Medicaid expansion also fails, then the policy lesson becomes that incremental reform can t succeed either. We would then have to accept the fact that many millions of Americans must remain uninsured. The physical, financial, and moral costs of that conclusion would be devastating.

#### Next steps for covering the uninsured.

What can be done to expand health insurance coverage in this environment? An obvious first step is to make sure that the CHIP/Medicaid expansion for children fulfills its potential. For its part, The Robert Wood Johnson Foundation has devoted almost \$50 million to *Covering Kids*, a program supporting coalitions in 49 states and the District of Columbia to identify children eligible for health insurance coverage, reduce the administrative barriers to enrollment, and develop local support in favor of expansions.

Another step would be to identify additional populations for incremental expansions. Likely targets include low-income workers and their families; the parents of children who qualify for CHIP; people who have to purchase their coverage in the individual market; and early retirees. And for each increment, we will need to find a way to pay for coverage for those who cannot pay on their own.

Third, we will need to change public attitudes toward the uninsured. To do so will require both personalizing the plight of the uninsured as well as answering some important questions: Who are the uninsured? What does lacking health insurance mean for these men, women, and children? What



Kristina Desjarlais, James Fisher and Jessika Spokane, Washington

I have health insurance for Jessika and me through a program called Healthy Options. I pay \$10 a month and we both go to the community health clinic. I really don t know what I would do without it. I live paycheck-to-paycheck.

I m going to school full-time, I have a work-study job, and I m on welfare right now. I m not proud of it, but it s only temporary.

I m a single mom and I m working hard to better myself. Having health insurance really takes a big load off me. Jessika is in day care, so she gets sick often. It s a huge relief knowing I can bring her right to the clinic.

I don t want Jessika to suffer just because I m poor and struggling right now. And I m hoping when I m done with school and get a full-time job that I ll get health insurance with it. Then I are the social costs, measured in terms of absenteeism from work and school, avoidable hospitalizations, and unnecessary disability and death? By humanizing this otherwise abstract concept, the plight of the uninsured could be made more real for the majority of people who already enjoy health insurance coverage.

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can sort of step aside and someone else can get my place at the clinic, someone who s going through hard times like I am now.

Having this insurance is like a stepping stone. It s helping me get back on my feet.

>>

Kristina Desjarlais is a full-time student, studying to become a medical transcriptionist. James Fisher is Desjarlais fiancé and Jessikas father.

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# Officers and Staff

GENERAL COUNSEL

Vice President, General Counsel and Secretary

James C. Ingram, JD Senior Counsel

Carol G. Kroch, JD Senior Counsel

Sharon E. Krauss Administrative Coordinator II

Andrew R. Harrison, PhD Archivist

May C. Wagner Administrative Assistant

Janice Shepperd

Secretary

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OFFICE OF THE TREASURER

Peter Goodwin

Vice President and Treasurer

**E. Tina Murphy** *Executive Assistant* 

Shelley Eberhardt Administrative Assistant

#### FINANCIAL MONITORING

Janice A. Opalski Director of Financial Monitoring

**Rona Smyth Henry** Senior Financial Officer

Marco Navarro Financial Officer

Milton R. Ellis, Jr. Financial Analyst

Mona L. Hall Financial Analyst

Spencer L. Lester Financial Analyst

Liisa E. Rand Financial Analyst

Megha Sanghavi Financial Analyst

Benjamin J. Warner Financial Analyst

Joseph Wechselberger

Financial Analyst

Francine A. Belardo Administrative Assistant

Marianne Brandmaier Administrative Coordinator

Lorraine M. Conway Administrative Assistant

Nancy E. Leyton Administrative Assistant

JoAnn E. O Dwyer Administrative Assistant

**Stacey A. Sandrey-Groman** *Financial Assistant* 

Marybeth Tamayo

Secretary

ACCOUNTING

G. Russell Henshaw, Jr. Controller

**Roy F. Chiorello** Assistant Controller

Carolyn D. Scholer Portfolio/Accounting Assistant

**Ellen Coyote** Accounting Assistant

Carol A. Owle Accounting Assistant

**Rebecca Wikoff** *Accounting Clerk* 

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#### INFO TECH

**Christina M. Reiger** Director of Information Systems

**David Binder** Assistant Director of Information Systems

Kristine McDaid Manager of Applications Development

David E. Gray Assistant Manager of Information Systems

Kristine Nasto Assistant Manager of Information Systems

Helen G. Gerry Senior Systems Analyst

Kim M. Lavan Systems Analyst

**Debra Heyn Sheil** Database Applications Specialist

Anisha Agnihotri Oracle Applications Specialist

**Ellen Chattin** Visual Interface Designer

**Robyn B. Tyminski** Administrative Assistant

#### FOUNDATION SERVICES

Jack Baron Foundation Services Operations Manager

Gail I. Benish

Administrative Coordinator

Anthony D. Freda Foundation Services Associate

Heidi N. Tucci Purchasing Specialist

Barbara J. Tretola Manager, Travel and Conference Services

Anthony A. Tozzi Telecommunications/Audio-Video Specialist

L. Awilda Marquez Foundation Services Assistant

**Wilson Lopez** Foundation Services Clerk

Joseph P. Miliano Foundation Services Clerk

Mayra C. Saenz Foundation Services Clerk

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### **INVESTMENTS** John D. Gilliam

Chief Investment Officer

**Karen J. Candelori** Assistant to the Chief Investment Officer

Jonathan LaCrosse Senior Investment Analyst

Heather C. Kilgariff Operations Assistant

Stephanae Lariviere

Executive Assistant

Marian C. Lemieux-DeVito Executive Assistant

### HUMAN RESOURCES

**David L. Waldman** Director of Human Resources

**Cheryl L. Thomas** Human Resources Generalist

Joy A. Tozzi Human Resources Assistant

Barbara Andersen Administrative Assistant

OFFICE OF PROPOSAL MANAGEMENT

## **Richard J. Toth** Director, Office of Proposal Management

Katherine J. Carr Deputy Director, Office of Proposal Management

### Kathleen McGeady

Proposal Management Associate

Julia E. Painter Proposal Management Associate

Linda J. Gabryszewski Administrative Assistant

**Eleanor T. Mattison** *Program Assistant* 

Lydia Ryba Administrative Assistant

Yogini Bhatt Grants Database Management Specialist

### Sandra A. Georgeanni

**Records Supervisor** 

### Vicky J. Coveleski

Records Assistant

Staff as of February 1, 2000.

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